



LGMSD 2021/22

Mbarara District

(Vote Code: 537)

Assessment	Scores
Crosscutting Minimum Conditions	60%
Education Minimum Conditions	100%
Health Minimum Conditions	90%
Water & Environment Minimum Conditions	75%
Micro-scale Irrigation Minimum Conditions	70%
Crosscutting Performance Measures	80%
Educational Performance Measures	68%
Health Performance Measures	67%
Water & Environment Performance Measures	86%
Micro-scale Irrigation Performance Measures	8%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Service Delivery Outcomes of DDEG investments Maximum 4 points on this performance measure	<ul style="list-style-type: none"> Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): If so: Score 4 or else 0 	<p>The evidence from the Field reviews on the list of Projects from the Planner. indicates that the infrastructure projects implemented using DDEG funding are Functional, and utilized as per the purpose of the projects by the beneficiaries.</p> <p>The Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) was the only undertaken DDEG funded project. This Phase of the project is complete and it involved majorly completion Works. Facility (OPD) is fully functional</p>	4
2	Service Delivery Performance Maximum 6 points on this performance measure	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	N/A	0
2	Service Delivery Performance Maximum 6 points on this performance measure	<p>b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.</p> <ul style="list-style-type: none"> If 100% the projects were completed : Score 3 If 80-99%: Score 2 If below 80%: 0 	<p>The LG provided evidence that the DDEG funded investment project implemented was 100% complete as per report dated 11/06/2021 availed by the District Planner, Johnson Tusimireyo. The project was; Construction of OPD at Bubaare HC III, Shs.58,886,000 (Annual Budget Performance Report, page 58), AWP-page 50)</p>	3

3	Investment Performance Maximum 4 points on this performance measure	a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines: Score 2 or else score 0.	The LG budgeted for Shs.58,886,000 and spent all was used on the DDEG project of Shs.58,886,000 on construction of an OPD at Bubaare HC III in Bubaare S/County. The funds were used within the DDEG guidelines (Approved Budget Estimates, page 25; Annual Budget Performance Report, page 58; AWP-page, 50 and LG DP III, page 204).	2
3	Investment Performance Maximum 4 points on this performance measure	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0	The AWP and Budget for the FY 2020/21 indicated a number of projects funded under the DDEG and of those, the only implemented infrastructure project had contract amount according to contract document as follows: Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) - <i>MBAR537/WRKS/2020/2021/00011</i> . The Budget amount/Engineers Estimates was UGX 100,000,000/= . The contract Sum was UGX 96,725,780/= . The Variation was at 3.27% The Variation was thus within +/-20% of the LG Engineers estimates	2

Performance Reporting and Performance Improvement

4	Accuracy of reported information Maximum 4 points on this Performance Measure	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0	There was evidence that the information on the positions filled in the LLGs as per the minimum staffing standards was accurate; Rwanyamahembe TC workplace had 10 district staff list had 10 positions filled, Bubaare Sub county workplace had 9 district staff list had 9 and Bwezibweera TC had 10 officers and district staff list had 10 officers.	2
4	Accuracy of reported information Maximum 4 points on this Performance Measure	b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG: • If 100 % in place: Score 2, else score 0. Note: if there are no reports produced to review: Score 0	From the reports provided by Mbarara DLG; the information shows infrastructure projects constructed using the DDEG, successfully completed (100%), and in Place. The project undertaken was; Construction of an OPD at Bubaare HC III (Phase II) in Bubaare S/County The actual level of completion was as per the reports provided/seen by the Assessor which included • Inspection/Progress Report dated 11th June, 2021 prepared by the AEO (Tugume B. J) and endorsed by the DE (14/6/2021), to CAO.	2

Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was evidence that the Local Government had consolidated and submitted staffing requirement for the coming FY to MoPS on 10/09/2021 which was before the deadline of 30th September 2021.	2
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was no evidence provided as a proof that the district conducted a tracking and analysis of staff attendance for the 12 months in the previous financial year as required.	0
7	Performance management Maximum 5 points on this Performance Measure	i. Evidence that the LG has conducted an appraisal with the following features: HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0	There was no evidence that CAO appraised all the HODs as per the signed performance agreements; Dr Ssebutinde DHO (Not appraised), Ahimbisibwe DEO (Not appraised), Mwebaze Emmanuel District Engineer (15/09/2021), Muganzi Julius CFO (Not appraised), Arthur Byarugaba Ag DCDO (31/08/2021), Tumwesigye District Production Officer (31/08/2021), Mutebi Geoffrey District Commercial Officer (07/10/2021), Niwaga David Sancho Ag DNRO.	0
7	Performance management Maximum 5 points on this Performance Measure	ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines: Score 1 or else 0	The Reward and Sanction Committee was fully constituted by CAO. However, no case was handled by the Reward and Sanction Committee as required.	0
7	Performance management Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional. Score 1 or else 0	There was no evidence that the Local Government had established a Consultative Committee. The assessor was informed that the Grievance Redress Committee for projects handled some of the staff cases.	0

8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	There was evidence that all the newly recruited staff in the previous financial year accessed payroll within 2 months e.g.; Natumanya Muzafaru Education Assistant II appointed on 30/04/2021 accessed June 2021, Naturinda Jane Education Assistant appointed on 30/04/2021 accessed June 2021, Namanya Meron Porter appointed 22/03/2021 accessed May 2021 and Tweheyo Feredinando Senior Assistant Accountant appointed 01/04/2021 accessed May 2021.	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	There was evidence that all the retired officers in the previous financial year 2020/2021 accessed the pensioner payroll within two months; Mebembezi William District Production Officer retired on 16/05/2021 accessed June 2021, James Mbukuure Senior Education Assistant retired on 3/01/2021 accessed February 2021, Hope Kiconco ACDO retired on 01/09/2020 accessed October 2020, Ssempijja Ben Senior Laboratory Technician retired on 02/09/2020 accessed October 2020 and Baheise Vincent Parish Chief retired on 01/09/2020 accessed October 2020.	1

Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	The LG direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget. The DDEG budgeted amount, released by MOFPED and transferred to LLGs by LG was as follows; a. Amount budgeted for LLGs Shs.106,039,800 (Annual Approved Budget Estimates page 3, AWP-page- 4) b. Amount released by MoFPED. Q. 1. Shs. 35,346,599 Q. 2. Shs. 35,346,599 Q. 3. Shs. 35,346,602 c. Amount transferred to LLGs per quarter; Q. 1-05/08/2020, Shs. 35,346,599 Q. 2-23/10/2020, Shs. 35,346,599 Q. 3. 28/01/2021, Shs.35,346,602 The total amount transferred was Shs.106,039,800.	2
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10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):	The LG did not timely warrant direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):	0								
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0	The cash release and warranting were made on the following dates; Time taken Q 1-13 days; Q 2-10 days and Q 3-8 days.									
			<table border="1"> <thead> <tr> <th>Cash release</th> <th>Warranted</th> </tr> </thead> <tbody> <tr> <td>Q 1- 14/07/2020</td> <td>27/07/2020</td> </tr> <tr> <td>Q 2- 11/10/2020</td> <td>21/10/2020</td> </tr> <tr> <td>Q 3- 13/01/2021</td> <td>21/01/2021</td> </tr> </tbody> </table>	Cash release	Warranted	Q 1- 14/07/2020	27/07/2020	Q 2- 11/10/2020	21/10/2020	Q 3- 13/01/2021	21/01/2021	
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Q 2- 11/10/2020	21/10/2020											
Q 3- 13/01/2021	21/01/2021											
10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:	The evidence shows that the LG did not invoice and communicate all DDEG transfers for the previous FY to LLGs within 5 working days within from the date of receipt of the funds release in each quarter. Time taken, Q 1-20 days; Q 2- 12 days and Q 3- 15 days.	0								
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	The communication and invoicing were on the following dates below;									
			<table border="1"> <thead> <tr> <th>Cash release</th> <th>Date Invoiced</th> </tr> </thead> <tbody> <tr> <td>Q 1- 14/07/2020</td> <td>03/08/2020</td> </tr> <tr> <td>Q 2 -11/10/2020</td> <td>23/10/2020</td> </tr> <tr> <td>Q 3 - 13/01/2021</td> <td>28/01/2021</td> </tr> </tbody> </table>	Cash release	Date Invoiced	Q 1- 14/07/2020	03/08/2020	Q 2 -11/10/2020	23/10/2020	Q 3 - 13/01/2021	28/01/2021	
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Q 1- 14/07/2020	03/08/2020											
Q 2 -11/10/2020	23/10/2020											
Q 3 - 13/01/2021	28/01/2021											
			The LG was non-compliant.									
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	The LG provided evidence on supervision and mentoring of LLGs in the District on quarterly basis as per reports below;	2								
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	<table border="1"> <tbody> <tr> <td>Q 1-09/09/2020</td> </tr> <tr> <td>Q 2-04/02/2021</td> </tr> <tr> <td>Q 3-08/04/2021</td> </tr> <tr> <td>Q 4-08/06/2021</td> </tr> </tbody> </table>	Q 1-09/09/2020	Q 2-04/02/2021	Q 3-08/04/2021	Q 4-08/06/2021					
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Q 4-08/06/2021												

11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:</p> <p>Score 2 or else score 0</p>	<p>The LG availed reports which showed that results and reports of support supervision and monitoring visits were discussed in the TPC by the District to make recommendations for corrective actions and follow up. These were signed by the District Planner, Johnson Tusimireyo and were as below;</p> <p>The minutes were as follows;</p> <p>Q 1-15/10/2020</p> <p>Q 2-08/02/2021</p> <p>Q 3-07/04/2021</p> <p>Q 4-10/06/2021</p>	2
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Investment Management

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p> <p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>The LG provided the assets register which was maintained by the District up to-date by the time of assessment on 16th December 2021 on the date of assessment dated 13th December 2021. The assets registers maintained according the Local Governments Financial and Accounting Manual 2007 and was printed from IFMIS system. The assets registers included; land and buildings at headquarters and at LLGs; transport equipment and the location of each; furniture and fittings and location; ICT equipment machinery; office equipment and their locations;</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The District provided the Board of Survey (BOS) dated 30/08/2021 signed by the accounting officer CAO, Kasagara Edward. The committee members on the Board of Survey who witnessed stock of inventories were Chairperson – Tushabe Fred Rugara, Principal Assistant Secretary; Kaima Peter, Vermin Control Officer; Ayebare Sylvia, Bio-Statistician- Kesubi Nice Alex, Assistant Engineering Officer and Kamugisha Daniel, Senior Accountant.</p> <p>The BOS report included the following items; Cash balances and bank reconciliations; District land and buildings at headquarters and at LLGs; transport equipment; ICT equipment, office equipment; medical equipment, machinery. The BOS also showed a list of expired medicines at Bwizibwera HC IV (pages-44-47). BOS as well showed Assets Management decisions on recommending disposal of existing assets (pages-1-2).</p>	1
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The evidence provided indicate the District had functional physical planning committee and all fully appointed of 13 members. The Physical planner Lucky Julius availed the following documents:</p> <p>a. Plans submission register with the last transaction on 03/05/2021.</p> <p>b. Annual work-plan.</p> <p>c. Appointments letters dated 28/11/2019 members.</p> <p>d. The minutes were stamped and received by MoLHUD dated on the falling dates;</p> <p>Q -1 10/03/2021</p> <p>Q -2 17/05/2021</p> <p>Q -3 17/06/2021</p> <p>Q -4 07/07/2021</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the third LG Development Plan (LGDP III); (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that the District conducted a desk appraisal for all projects in the budget and the prioritized investments were derived from the LG Development Plan eligible for expenditure as per sector guidelines and funding source. The desk appraisal was carried out on 06/07/2020 by the following; District Planner Johnson Tusimireyo; DWO-Mucunguzi Joseph; Works-Engineer, Bananuka William; Senior Environment Officer, Nuwagaba David Sancho and Borehole Maintenance Technician Kashaija Keneth. The project was; Construction of OPD at Bubaare, HC III, Shs.58,886,000 (LG DP III, page 204).</p>
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that it conducted field appraisal report dated 23/07/2021 to check for technical feasibility, environmental and social acceptability and customized design for investment projects. The members who appraised the projects were; District Planner, Tusimireyo Johnson; DHO-Dr. Ssebutinde Peter and Ag. Health Inspector, Mbirabiremu Venasio. The project was; Construction of OPD at Bubaare, HC III, Shs.58,886,000 (LG DP III, page 204).</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>Evidence provided showed that project profiles with costing were developed by HODs from different departments dated 24/06/2021, Min 84/06/2021</p> <p>-page 6.</p> <p>The projects developed were;</p> <ol style="list-style-type: none"> 1. Construction of staff houses at selected Primary Schools in Kashari sub-county, Shs. 2,154,316,005. 2. Construction of Bubaare HCIII OPD Phase II at Rwenshanku Parish, Bubaare, Sub- County, Ushs.20,000,000. 3. Construction of Staff house at Rubaya HCIII Phase II, Bunenero Parish, Rubaya HCIII, Rubaya, Sub- County, Ushs.33,000,000 4. Upgrade of Kicwamba HCII to HCIII, Kicwamba Parish, Nyakayojo Division, Ushs.400,000,000. 5. Upgrade of Rubindi HCIII to HCIV, Kabare Parish, Rubindi-Ruhumba, Ushs.700,000,000. 6. Establishment of a commercial tree nursery bed at District headquarters, Government. Mbarara District Headquarters. 7. Afforestation at district and or sub-county land at Kashare and Rwanyamahembe at Kashare and Rwanyamahembe S/county Headquarters. Shs.32,500,000. 8. Re –afforestation at Bwizibwera Local Forest Reserve, Shs. 15,000,000. 9. Demarcation and restoration of critically endangered wetlands and river line wetland, at Bubaare, Bukiro, Kagongi, Kashare and Rubindi, Shs. 100,000,000. 10. Establishment of charcoal briquette factory & demonstration on energy saving technology (solar stoves, briquettes etc) at Mbarara District Local Government. Shs.850,000,000. 11. Construction of five and three stance VIP lined latrine 	1

at Munyonyi p/school (01) in Kagongi S/C, Ruhunga P/s (01) in Rubaya S/C and Kasikizi teacher's toilet (01) in Bubaare S/CU. Shs.80,435,407.

12. Siting and supervision of Hand pump Bore holes, at Rubaya S/C (3), Kashare S/C (2) Bubaare S/C (1) and Bukiro S/C, Ushs. 21,000,000.

13. Drilling and installation of Hand pump Bore holes at Rubaya S/C (3), Kashare S/C (2) Bubaare S/C (1) and Bukiro S/C, Ushs21,000,000.

14. Rehabilitation of Boreholes beyond community capacity, Rubaya (5), Kashare (4), Kagongi (1), Rwanyamahembe (2) and Bubaare (3), Ushs42,000,000.

15. Design and documentation of Kanyigiri –Nyarubungo solar powered water supply

16. Works and Technical services, Kanyigiri –Nyarubungo-Bukiro, Ushs44,000,000.

17. Construction and Extension of Kyandahi GFS Phase 3, Kyandahi - Kagongi S/C, Ushs125,000,000.

18. Routine Manual Maintenance of all District Feeder Roads Integrated Transport Infrastructure And Services. Selected District Feeder roads and Community Access roads in the District, Shs. 970,300,848.

19. Routine Mechanized Maintenance of District Feeder Roads and Community Access Roads. Integrated Transport Infrastructure and Services. Mbarara District Local Government, Selected District Feeder roads and Community Access roads. Shs. 1,559,592,844.

20. Installation of Culverts on selected Feeder roads and Community Access Roads. Integrated Transport Infrastructure and Services. Mbarara District Local Government, Selected District Feeder roads and Community Access roads. Shs. 49,730,681.

12

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:

Score 2 or else score 0

The District planned to use part of the DDEG fund to Construct a road side Market. At the time of assessment, the LG had called for bids for construction of a roadside market at Kyakambani in Ntuura Parish, Kagongi Sub-county and was at evaluation stage. The project had been screened and a report with ESMP (including mitigations) developed, dated 25.November.2021

2

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan Score 1 or else score 0	There was evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan, including the following; Construction of a Roadside Market at Kyakabani in Ntuura Parish, Kagongi S/County; Estimated (Budget) at UGX 30,000,000/=	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The Mbarara DLG Contracts Committee under <i>Min. No. 65/10/2021/2022(b)</i> of their meeting held on 29/10/2021 approved the Bidding Documents, Procurement Methods, etc. for the following project to be implemented using DDEG funding among others; • Construction of a Roadside Market at Kyakabani in Ntuura Parish, Kagongi S/County - <i>MBAR537/WRKS/2021-2022/00018</i> , The Evaluation process was still ongoing at the time of Assessment,	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0	There was evidence that LG has properly established the Project Implementation team as per guidelines A copy of joint appointment of the Civil Engineer - for DE (Project Manager), DHO (Contract Manager), DCDO, DNRO (as Environment Officer) and others as members of the PIT for Infrastructure projects for FY 2020/2021 The letter was dated 7th August, 2020 The AEO, <i>Tugume J. B</i> was also appointed as Supervisor for the Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) in a letter dated 28th October, 2020	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0	Infrastructure projects under DDEG Funding were found to be Complaint with the standard designs and specifications as provided by the MoH guidelines/LG Engineer For example; The Constructed Out-Patient Department (OPD) at Bubaare HC III (Completion Works) in Ceiling Works, Terrazzo works, general plastering works and painting all done as per the BoQs and DE's Instructions The said finishing/completion works (Phase II) were done on a well-set structure (Phase I) of a Standard OPD as per MOH design guidelines with the Waiting Area – with benches (finished with Terrazzo as well), the EPI, Examination and Treatment Rooms, the Laboratory, Counselling Rooms etc. all in Terrazzo Floor finish	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	There was evidence that the LG provided supervision by the relevant technical officers for infrastructure projects prior to verification and certification of works in previous FY 1. The DE. Health In-charge (for DHO), AEO, E.O, DCDO and others provided supervision of works - Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) as per Inspection/Progress Reports dated 9/2/2021, 26/3/2021 and 11/6/2021 prepared by the AEO (<i>Tugume B. J</i>) 2. DDEG Projects Monitoring Report for Q3 (2020/2021 – dated 7/4/2021 by the District Planner (<i>Tusimireyo J</i>). The team included the CAO, DHO, among others	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	There was evidence that Mbarara DLG verified works (certified) and initiated payments of contractors timely; for example; Construction of an OPD at Bubaare HC III (Phase II) - MBAR537/WRKS/2020-2021/00011 • Payment Request from the Contractor (M/S Extech Technical Services Ltd) was raised on 28/5/2021, • Verification/certification by the relevant technical officers, (DE, DHO, EO, DCDO, etc. by 11/6/2021, then • Payment (EFT No. 37068603, UGX 57,511,784/=) made on 19/6/2021	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	There was evidence of Complete procurement files in place for the all projects/contracts; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals and/or minutes. These included Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) - MBAR537/WRKS/2020-2021/00011 ; approved by the Contracts Committee under Min. No. Min. 22/09/2020/2021 (j) on 17/9/2020 after evaluation. The contract document was signed on 27/10/2020	1

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>The District had appointed the Principal Assistant Secretary as the grievance complaints Officer in a letter dated 15.July.2020.</p> <p>The Chief Administrative Officer had appointed also appointed the District Planner, Secretary District Land Board, Principal Human Resource Officer, and the Communication Officer as members of the Grievance Redress Committee in letters all dated 15.July.2020.</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p> <p>If so: Score 2 or else 0</p>	<p>The District had a system for recording, investigating and responding to grievances by the time of assessment. The System specified that issues/complaints would be raised to the Chief Administrative Officer, who would send to the Grievance redress committee for discussion. The focal person would then register the issue in the district complaints log Committee meets with to discuss the issue and can forward more complex issues to the department for specified handling. Follow-up is then made through meetings.</p>	2
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p> <p>If so: Score 1 or else 0</p>	<p>The District had publicized the Grievance redress mechanism by posting notices at multiple locations within the headquarters and on the Mbarara Local government website (https://www.mbarara.go.ug/media/complaints-and-grievancies)</p>	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with:</p> <p>Score 1 or else score 0</p>	<p>The evidence seen by the assessor indicate that environment, social and climate change interventions were incorporated in the LG DP III. The interventions were incorporated in the LG DP III as follows; Environmental, social and climate change interventions-LG DP III – Environment interventions, sections, 2.6 and 3.4.8 - were on pages pages, 45,95,97,43); Social interventions-section 1.2.5, were on pages, 43, 95 and 97; climate change interventions-section-3.4.8 was on page 95,97. AWP-Environment interventions- was on page-89; Social interventions-page-103; climate interventions- was on page-88. Approved Annual Budget Estimates; Environment interventions- was on page- 47; Social interventions- was on page-50 and climate interventions was on page-46.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management</p> <p>score 1 or else 0</p>	<p>The LG disseminated to LLGs the enhanced DDEG guidelines that strengthened and included, environment, climate change mitigation and adaptation and social risk management. This is in reference to the mentoring report dated 07/10/2021 which was discussed under minute, Min.114/10/2020/21, regarding the dissemination of the DDEG guidelines to LLGs. Those involved were, CAO All HODs, Town Clerks of Town Councils and Sub-County Chiefs. The activities included;</p> <p>Objectives;</p> <ul style="list-style-type: none"> • To disseminate new guidelines on DDEG and unconditional Grant Guidelines. • Changes in internal assessment guidelines om DDEG. • Planning for Parish Model at LLGs using DDEG. • To guide LLGs on project DDEG selection for projects for FY 2021/22. • To have all LLGs plans/budgets for 2021/22 to follow DDEG guidelines. 	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>The District used the DDEG fund to implement one project in the health sector, and that was to construct an OPD at Bubaare Health Center III (Phase II) in Bubaare Sub-county. The project had been screened with a report dated 16.July.2020, The Project had costed ESMPs as required however these were not incorporated into project BoQs.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>The Environment Office informed the assessment that the District didnot have any project requiring costing for additional impact from climate change.</p>	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>Bubaare Health Center had a freehold title for 2.6480ha in Kashari County plot 305, Block 36 Bubaare II Rwenshanku, REGD: 13.January.2020 MBR 00031798.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>The Environment Officer and CDO conducted support supervision and monitoring report prepared for the completion of OPD at Bubaare Health Center III dated 22.December.2020. A follow-up inspection to check whether environmental and social safeguards had been integrated into project implementation had not been done. Monthly monitoring therefore was not done.</p>	0

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	Construction of OPD at Bubaare Health Center III was certified for Environment and Social safeguards before clearing contractor's invoice for payment. Payment to Extech Technical services Limited was signed off by project Supervisor, District Natural Resources Officer, District Community Development Officer, Chief Administrative Officer, District Health Officer, and District Engineer on 11.June.2021. An EFT was made later on 19.June.2021 for 57,511,784 shs	1
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Financial management

16	LG makes monthly Bank reconciliations Maximum 2 points on this Performance Measure	a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment: Score 2 or else score 0	The LG monthly bank reconciliations were to-date at time of the assessment on 16th December 2021. The bank reconciliations were as at 30th November 2021. The 3 sampled banks were; 1. Mbarara DLG-General Fund-Stanbic Bank-a/c-9030013029198-Shs.33,259,379. 2. Mbarara DLG-TSA-Bank of Uganda-a/c. no.005370528000000, Shs.5,485,987(cash in transit). 3. Mbarara DLG-Revenue Account-Bak of Uganda-a/c no. 005370168000000, Shs.0.	2
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17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	The LG Internal Auditor Tumwine Milton provided to the assessor all the four quarterly internal audit (IA) reports. The reports to LG PAC through the Speaker included; observations, recommendations and action / comments. Submissions dates were as follows: Q 1-24/11/2020 Q 2 11/02/2021 Q 3 27/05/2021 Q 4 11/08/2021	2
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>The LG provided information to the Council and chairperson and the LG PAC on the status of implementation of internal audit findings. There was also information and reports on follow up on audit queries from all quarterly audit reports. The Minutes of audit reports on the follow-up by the Internal Audit were attached to reports to Secretary Public Accounts Committee dated:</p> <p>Q 1 27/11/2020</p> <p>Q 2 11/02/2021</p> <p>Q 3 20/05/2021</p> <p>Q 4 16/08/2021</p> <p>The minutes of the audit committee were attached to the above minutes and forwarded to the Secretary LG PAC.</p>	1
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>Evidence provided by the LG shows that all the internal audit quarterly reports for the previous FY were submitted to the Secretary LG PAC. There were reviewed and a follow-up- was made. The information was provided by Fred Tushabe Rugara-Clerk to Council 17 / 12 /2021 assessment.</p> <p>Status of submissions were as below;</p> <p>Q -1 and Q 2 were discussed and follow-up made on 06/05/2021 under MIN.MDLG/GOU/94/05/2021.</p> <p>Q 2 and Q 4 were discussed and follow-up on 24/11/2021 under MIN.MDLG/GOU/42/11/2021.</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Actual Revenue collected in 2020/21 was Shs.974,341,070 against planned of Shs. 1,007,859,325. The LG collected less than had planned by Shs33,518,255, this was -3.3%. This was within the range of -+10%.</p> <p>The LG was compliant.</p>	2
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% -10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>The actual OSR for the fy 2020/21 was Shs. 974,341,070 and actual for 2019/2020 was Shs. 702,476,163. There was an increase of Shs. 271,864,907, which was 38.7% more than +10% (Final accounts 2020/21, page 12).</p> <p>The LG was compliant.</p>	2
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>The LG collected Shs. 974,341,070 (Annual Budget Performance Report-page 35). The amount shareable was Shs.116,487,482 (Shs.974,341,070 less land Fees Shs.405,885,101, less disposal of assets, Shs.371,968,587). Sharable of Shs. 116,487,482. The total amount of remitted to LGGs was Shs. 69,067,311 (Annex iii), the mandatory LLG share of local revenues for the FY 2020/21 to LLGs, which was a proportion of 59.3% less than the mandatory 65%.</p> <p>The LG was non-compliant.</p>	0

Transparency and Accountability

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published:
Score 2 or else score 0

The Procurement Plan for FY 2021/2022 and the Awarded Contracts were duly published/displayed on the Mbarara DLG Procurement Notice board for Public View.

Examples of Projects/Contracts awarded included;

1. Contract to **M/S KLR (U) Ltd**; for the Drilling and Installation of Deep Boreholes (9No.) in Rwanyamahembe (2), Kashare (3), Rubaya (3) and Rubindi (1) in Mbarara District; - Proc. Ref. No. *MBAR537/WRKS/2021-2022/00004*; with a Contract sum - UGX 220,534,920/=; signed for display on the 8th October, 2021, and date of removal was 21st October, 2021

2. Contract to **M/S Muhwezi Abert Construction Ltd**; for the Construction of a 2-Classroom Block and a 5-Stance Pit Latrine at Nyakayojo II P/S in Rwanyamahembe S/County; - Proc. Ref. No. *MBAR537/WRKS/2021/2022/00011*; with a Contract sum - UGX 101,613,340/=; signed for display on the 8th October, 2021, and date of removal was 21st October, 2021

3. Contract to **M/S Epic Fine Services & Contractors Ltd**; for the Construction of a 3-Unit Staff House at Mishenyi P/S in Rwanyamahembe T/C and Construction of a 2-Classroom Block at Karuyeje P/S in Rwanyamahembe S/County; - Proc. Ref. No. *MBAR537/WRKS/2021/2022/00012*; with a Contract sum - UGX 140,904,980 /=-; signed for display on the 8th October, 2021, and date of removal was 21st October, 2021

The above projects among others were as found on the Procurement Notice Board as signed 8th October, 2021 by the SPO (*Mwije Dinah*)

LG shares information with citizens

Maximum 6 points on this Performance Measure

b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0

The LG performance results were displayed as per TPC minutes dated 07/10/2021 under minute, Min115/10/2020/21, page 3 signed by the Chairperson-DCAO-Tushabe Fred Rugara, Secretary, Mujuni Keith-Population Officer. This was displayed on the LG website on 08/10/2021 (Mbarara.go.ug).

The results were also displayed on District, noticeboard at the Administration block.

The results showed;

Mbarara District

Rank 7

Score 65

Cross-cutting 54

Education 84

Health Measures 65

Water and Environments measures 59

Micro-Scale Irrigation N/A

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG conducted discussions with the public to provide feed-back on status of activity implementation. The barazas were held on Endigyito Radio were on the following dates; 05/12/2020. The programmes were conducted by; District Chairperson-J.B Tumusiime; CAO, Kasagara Edward, District Planner, Town Clerks, Sub-county chiefs, Johnson Twusimireyo, HODs and the Community. The feed-back are on the following projects;</p> <ul style="list-style-type: none"> - Discussed challenges in sectors of water, education, production, commerce & industry, community-based services, health, natural resources and administration. -COVID-19 Pandemic effect -Immunization of corona virus and six killer diseases. -Feedback on the Emyoga Projects. -Operation Wealth Creation. -Outbreak of foot and mouth disease and Rift valley fever. -Sources of water construction and environmental management -Road construction and maintenance of roads. -Progress of the LG DP III -Project implementation for FY 2020/2021 -Encroachment on wetlands and water public dams. 	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG publicly avail information on, tax rates, collection procedures, and procedures for appeal as per evidence of circulars signed by the CAO, Kasagara Edward was publically made circular dated 14/10/2020. The information was publically made available through the noticeboard on 14/10/2021. These were on livestock market charges, animal movement permit loading fees, trading licenses, ground rent, English beer licenses, liquor licenses, building plan inspection fees, charcoal loading, slaughter fees, loitering fees, milling machine fees, operational license, industry license, rental tax for commercial buildings and local service tax.</p>	1

Reporting to IGG

Maximum 1 point on
this Performance
Measure

a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

The LG had no case on corruption as per discussion with the Clerk to Council Tushabe Fred Rugara witnessed by CFO, Julius Muganzi and Assistant Clerk Lilian Kurotaro to Council , District Planner Tusimireyo Johnson and District Internal Auditor, Moses Ashaba.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>According to PLE 2019 results released by UNEB, the district performed as follows; (Div.1 - 111) Div. 1; 625, Div. 11; 1956 and Div. 111; 383, totaling 2964 pupils against 3160 candidates that sat for the exam that year, translating into; $2964/3160 \times 100 = 94\%$ pass rate.</p> <p>In 2020 on the other hand, the district performed as follows; Div. 1; 680, Div. 11; 2006, and Div. 111; 364 totaling 3050 pupils against 3235 candidates that sat for the exam that year, translating into; $3050/3235 \times 100 = 94\%$ pass rate,</p> <p>There was therefore no increase in performance thus; $94\% - 94\% = 0\%$</p>	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>According to UCE 2019 results released by UNEB, the district performed as follows; Div. 1; 49, Div.11; 179 and Div. 111; 214 totaling 442 pupils against 754 candidates that sat for the exam that year, translating into; $179/754 \times 100 = 59\%$ pass rate.</p> <p>In 2020 on other hand, the district performed as follows; Div. 1;105, Div.11; 226, and Div.111; 229 totaling 560 pupils against 825 candidates that sat for the exam that year, translating into; $560/825 \times 100 = 68\%$ pass rate.</p> <p>There was therefore an increase in pupils performance thus; $68\% - 59\% = 9\%$</p>	3
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	N/A	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the development grant was used on eligible activities as defined in the sector guidelines, to mention; 1) Construction of two (2) classroom blocks with an office and store at; Rwantsinga, Ktyamatambarire, Munyonyi, Ruburara, Karuyenje and Kitengure.2) Construction of staff houses at; Nyamirima and Rubindi Girls. 3) 17 primary schools provided with 3 seater desks and 4) Completion of a Seed secondary school at Bukiro . According to the Q4 performance report the total budget was UGX 1,428,245,000 against an expenditure of UGX 1,728,226,000 or 121% absorption rate.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>The DEO –Gabriel Ahimbisibwe; DCDO- Byaruhanga Arthur; Environment Officer, David Sancho Niwagaba; District Engineer, William Bananuka and Supervisor of Works-Ssozi Emmanuel, certified works on education construction projects implemented in the previous FY 2020/21 before the LG made payments to the contractors</p> <p>The projects were as follows;</p> <ol style="list-style-type: none"> 1. Construction of Bukiro seed school in Bukiro Subcounty by Cream General & Technical services. MoES-/UgIFT/WRKS/2018-19/00119. Requisitioned for funds on 18/05/202. Certified works on 25/05/20221. Paid on 15/06/2021 by EFT.37068571, Shs. 110,008,963. 2. Construction of 3-unit staff house at Nyamirima moslem p/s & two classroom block at Rubindi Girl’s P/s by Dalach Investments limited. MBAR537/WRKS/2020-2021/00004. Requisition for funds on 29/03/2021. Certified works on 31/03/2021. Paid on 24/04/2021 by EFT. 35981140, Shs. 121,110,014. 3. Construction of 2 classroom block at Rwantsinga P/S and 2 classroom at Kyamatambarire p/s in Rubaya s/county by JB Kabuyanda limited. Requisitioned on 25/01/2021. Certified works on 03/02/2021. Paid on 24/02/2021 by EFT. 34055067, Shs. 27,980,008. 	2

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0</p>	<p>From the DE and DEO's offices, the following Works contracts were sampled; and the Engineers estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages:</p> <ol style="list-style-type: none"> 1. Construction of Bukiro Seed Sec School in Bukiro S/County – <i>MoES/UgIFT/WRKS/2018-2019/00119 LOT 7</i> with MoES (Engineers) Estimates (budget amount) at UGX 2,100,000,000/=. The contract Price (after approval of Variation Order) was UGX 2,191,344,935/=. The Variation was at -4.35% 2. Construction of a 2-Classroom Block at Rwantsinga P/S, and Kyamatambarire P/S in Rubaya S/County - <i>MBAR537/WRKS/2020/2021/00002</i> with Engineers Estimates (budget amount) at UGX 130,996,520/=. The contract Price was UGX 130,717,804/=. The Variation was at 0.21% 3. Construction of a 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County, and Construction of a 2-Classroom Block at Rubindi Girls P/S in Rubindi S/Cty- <i>MBAR537/WRKS/2020/2021/00004</i>. The Engineers Estimates at UGX 135,996,180/=. The contract Sum was UGX 134,903,736/=. The Variation was at 0.80% <p>The variations were thus within +/-20% of the MoES/LG Engineers estimates</p>	2
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3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>The Contract for Construction of Bukiro Seed Sec. School, in Bukiro S/County expired with a number of requests for Extension of Time that had been granted/approved, latest was up to 30/05/2021 (Under Min. 111/02/2020/2021 (c), of the Contracts Committee held on 26/2/2021. By the time of the Assessment the work had been finished - as 30/05/2021.</p> <p>This indicator as per the LGMSD 2021 manual reviews calls for Ref. Seed Sec. School.</p> <p>However, the following Education/School infrastructure development were also completed as per Work Plan as evidenced by the project Completion reports from the DE and DEO</p> <ul style="list-style-type: none"> • Construction of a 2-Classroom Block at Rwantsinga P/S in Rubaya S/County, and • Construction of a 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County 	2
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4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines	There was evidence that the LG had recruited primary school teachers as per the prescribed MoES staffing guidelines. The approved staff structure of the LG stood at 642 against 777 or 121% staff in position, as per the staff list in the approved performance contract generated on 28/06/2021 03:09.	3
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 		

4	Achievement of standards: The LG has met prescribed school staffing and infrastructure standards	b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,	A review of the consolidated asset registers for UPE and USE schools revealed that, out of 85 UPE schools, 46 or 54% and out six(6), three(3) or 50%, averaging 54% + 50% = 104/2 = 52%, met the basic requirements and minimum standards set out in DES guidelines.	1
	Maximum 6 points on this performance measure	<ul style="list-style-type: none"> • If above 70% and above score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 		

Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.	a) Evidence that the LG has accurately reported on teachers and where they are deployed.	There was evidence that the LG , had accurately reported on 777 (100%) teachers and where they were deployed.	2
	Maximum 4 points on this performance measure	<ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>In the three sampled schools; In Akashanda (rural) there were 14 teachers, Bwizibwera Town School (urban) there were 12 teachers and in Rubindi Girls, there were 18 teachers respectively. The staff list for FY 2021/2022 at DEOs office, that at the school and the attendance book before closure of schools due COVID - 19 pandemic, tallied.</p>	

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was evidence that the LG had a consolidated school register accurately reporting on the infrastructure in all 85 (100%) registered primary schools.</p> <p>In the three sampled schools; Bwizibwera Town School, there were four(4) classroom blocks with 11 classrooms, three (3) latrine blocks, with 16 stances, 135, 3 -seater desks and no teachers house. Rubindi Girls(semi-urban) there were six(6) classroom blocks with 11 classrooms, four(4) latrine blocks with 16 stances, 153, 3 - seater desks and one teachers house accommodating three(3) teachers and Akashanda (rural) there were four(4) classroom blocks with 12 classrooms, three (3) latrine blocks with 14 stances , 102,- 3 seater desks and one (1) teachers house, housing seven(teachers). Data in the consolidated Asset register at the DEOs office, a copy of the asset register at the school and the physical observation at school, were collaborated and found in tandem.</p>	2
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others,</p> <p>i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>A review of the 46 submitted copies of the Annual school report and Budget, revealed that that they did not comply with the MoES annual and budgeting and reporting guidelines, since 30 lacked the signatures of Chairpersons of SMC, 40 lacked the reconciled cash flow statement and 36 were submitted after the deadline of 30th January 2021. There was therefore no compliance.</p> <p>This lapse was reported/ recorded in the exit meeting/ report.</p>	0

6	School compliance and performance improvement: Maximum 12 points on this performance measure	b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations: • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0	There was no evidence provided during assessment as proof that head teachers had been supported to prepare and implement SIPs in line with school inspection recommendations, nor submitting SIPs to the DEO. In three sampled schools; Bwizibwera Town School, there was one, but it had an element of a school Development Plan. in Rubindi Girls, there was one with three (3) activities and one was a school development and Akashanda had one displayed but with a number of activities for the school development plan. While in the three sampled schools, the Inspectorate had helped to develop them, the concept of an SIP was not well understood.	0
6	School compliance and performance improvement: Maximum 12 points on this performance measure	c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year: • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0	There was evidence that the LG had collected and compiled EMIS(OTIMS) data for all 85(100%) registered schools for FY 2020/2021 totaling 29,506 pupils, as per the information collected from MoES. This data was collaborated with that in the performance Contract of FY 2021/2022 generated on 28/06/2021 03:09 and found matching.	4

Human Resource Management and Development

7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY: Score 4 or else, score: 0	There was evidence that the LG had budgeted for 777 teachers, a head teacher and a minimum of seven(7) teachers per school and a minimum of one teacher per class with than P7 for 2021/2022 with a wage bill of UGX 6,132,098,000 as per the approved performance contract for FY 2021/2022 generated on 28/06/2021 03:09.	4
7	Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 8 points on this performance measure	b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY, Score 3 else score: 0	There was evidence that the LG had deployed 777 teachers in line with the sector guidelines, in FY 2021/2022 as per the staff list of FY 2021/2022 generated from PBS. In the three sampled schools; Bwizibwera(urban) Town school, there were 12 teachers, Akashanda (rural) 14 teachers and in Rubirizi Girls (semi-urban) 18 teachers. All teachers were on the payroll of the schools visited. Data on the staff lists at DEOs office, those at the schools and the teachers attendance books before closure of schools due COVID - 19, was in tandem.	3

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>There was evidence that teacher deployment data had been displayed on the district notice board and also in the three sampled schools; Bwizibwera Town school, Akashanda and Rubirizi Girls, respectively.</p>	1
<p>Maximum 8 points on this performance measure</p>				
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO Score: 2 or else, score: 0</p>	<p>There was evidence that all primary Head Teachers had been appraised and copies submitted to HRO as per the 10 sampled officers; Namara Robinah Kashaka P/S (09/02/2021), Kobuyonjo Juliet Rugarama P/S (31/01/2021), Bitekyerezo Julius Rubaya P/S (17/12/2020), Tumushabe Betyce St Simon Kooya P/S (10/02/2021), Boriba James Rwobugweyo P/S (18/12/2020), Katsigaire Benon Kyamatembairire P/S (17/12/2020), Mugarura Robert Rubindi Boys P/S (22/02/2021), Atwiine Edridah Mugizi Buzibwera P/S (15/12/2020), Namara Jane St Mary's Rwebare P/S (10/02/2021) and Kyoshaka Justine Kashanda P/S (25/01/2021).</p>	2
<p>Maximum 8 points on this performance measure</p>				
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM Score: 2 or else, score: 0</p>	<p>There was no evidence that all Secondary School head teachers had been appraised; Turyahebwa Francis Kaganda Ag Head Teacher Rwansinga SSS (Not appraised), Musinguzi Venansio St Paul Kagongi SSS (Not appraised), Bahairwe Geoffrey Estari Kokundeka Memorial SSS (30/11/2020), Besigamwe Emmanuel Nombe SSS (Not appraised), Kabarungi Angela Kakobyu Rutooma SSS (Not appraised), Natukunda Jolly Rwembangye Kashaka Girls SS (Not appraised) and Bayo Robert St Andrew SSS (Rubindi (Not appraised).</p>	0
<p>Maximum 8 points on this performance measure</p>				

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that all Education Department staff had been appraised as follows; Ayebazibwe Kellen SIS (30/09/2021), Muhwezi Deo IS (09/03/2020), Murangira Kenneth SEO (30/06/2021), Musinguzi Chleopas Sports Officer (30/06/2021) and Atuhairwe Ezra Education Guidance and Counselling Officer (30/06/2021).</p>	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was evidence that the LG had a training plan for FY2021/2022. Some of the activities therein were; 1) Training head teachers in budgeting and financial and financial management. 2) Orienting new school SMCs and 3) Training head teachers and Record keeping and management.</p>	2
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>The LG did not have any issue on; the list of schools, thier enrollment, and budget allocation in PBS. there was therefore no reason for them to write.</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG had made allocation to the tune of UGX 58,048,000 to inspection and monitoring functions in line with the sector guidelines . The activities conducted included; planning for inspections and monitoring, conducting inspections and monitoring, report writing, dissemination of findings and submitting reports to DES. According to Q4 performance report of 2020/2021, UGX 58,044,000 or 100% of funds were spent.</p>	2								
<p>Maximum 8 points on this performance measure</p>												
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The evidenced showed that the LG had not submitted warrants for school's capitation within 5 days for the last 3 quarters. Time taken; Q 1-13 days; Q 3– 8 days and Q 4- 9 days.</p>	0								
<p>Maximum 8 points on this performance measure</p>												
			<p>They were warranted on the following dates;</p>									
			<table border="1"> <thead> <tr> <th>Cash release</th> <th>Date Warranted</th> </tr> </thead> <tbody> <tr> <td>Q 2 –14/07/2020</td> <td>27/07/2020</td> </tr> <tr> <td>Q 3- 13/01/2021</td> <td>21/01/2021</td> </tr> <tr> <td>Q 4– 05/04/2021</td> <td>14/04/2021</td> </tr> </tbody> </table>	Cash release	Date Warranted	Q 2 –14/07/2020	27/07/2020	Q 3- 13/01/2021	21/01/2021	Q 4– 05/04/2021	14/04/2021	
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Q 2 –14/07/2020	27/07/2020											
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>Evidence showed the LG had not invoiced and communicated capitation releases to schools within three working days of release of MoFPED. Time taken; Q 1-53 days; Q3-27 days; and Q 4- 50 days.</p>	0								
<p>Maximum 8 points on this performance measure</p>												
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<p>The LG was non-compliant.</p> <p>In the three sampled schools; Bwizimbwera Town school Akashanda and Ribindi Girls, there was no evidence DEO had communicated capitation releases to schools, within three working days.</p>												

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>	<p>There was no evidence provided during assessment that the LG education department had prepared an inspection plan and meetings held to plan for school inspections.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <p>• If 100% score: 2</p> <p>• Between 80 – 99% score 1</p> <p>• Below 80%: score 0</p>	<p>A review of the inspection reports dated 15/10/2020,12/042021 and 07/062021,revealed that all 85 or(100) UPE registred primary schools were inspected in each of three terms were inspected .</p>	2

Routine oversight and monitoring

Maximum 10 points on this performance measure

c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,

Score: 2 or else, score: 0

There was evidence that inspection reports had been discussed and used to recommend corrective actions and that those actions had subsequently been followed up. To mention;

In a report dated 10/08/2021 vide Min.05/08/2021, discussion of inspection report for Term 11, a finding that 46% of the UPE schools inspected had inadequate furniture. The district had budgeted for 10 schools in FYs 2020/2021 and 2021/2022 under the government development grant to partly alleviate the problem.

In a report dated 25/05/2021 vide Min.05/005/2021 for the education staff meeting, to discuss school inspection report for Term 1, a finding that 38% the school games masters were not qualified in sports and were therefore not doing a good job. The Inspector in charge of Sports thereafter arranged a two day training of games master in June 2021.

In three sampled schools; In Bwizibwera Town school(urban), during an inspection held on 15/11/2020. a finding that UPE releases to the school were not updated was made. This was done and at the time of the assessment, the updated was visible. In another inspection done on 09/03/2021, a finding that hand washing facilities were not strategic places, was made. The head teacher had made sure that, this was put right and also asked the teacher in charge of sanitation to ensure the containers had water and soap, besides checking on this daily.

in Akashanda (rural), from an inspection done 17/02/2021, a finding that there was need for renovation of two classrooms for conducive learning. At the time of assessment, these classrooms were being renovated, through putting new window frames and was expecting to complete when UPE funds are unfrozen by government soon. In another report dated 16/04/2021, a finding to do with old buildings should be repaired and lack of teachers house, The old building had been repaired by the time of assessment with new iron sheets fitted and a teachers house was under construction dam course.

In Rubindi Girls(semi-rural) in report dated 15/11/2020, lack of adequate classrooms and teachers was made as a finding. Two teachers had been posted and the school had benefitted from SFG, for a two classroom construction.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was evidence that the DIS had presented findings from inspection and monitoring results for respective schools and submitted reports to DES as follows;</p> <p>In three sampled schools; Bwizibwera, reports dated, 15/11/2020 and 09/03/2021 were left at the school, in Rubindi Girls, inspection reports dated 15/11/2020 and 08/02/2021 were left at the schools and In Akashanda, Reports dated 17/02/2021 and 16/04/2021 were left at the school.</p> <p>The district had also submitted reports for the previous three terms as per the list collected from DES.</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the Council committee responsible for education met on 02/02/2021 vide Min.04/02/2021 under sub-agenda; Reports from from education- school inspection. The status of projects implemented was given for example construction of a 2-unit teachers house at Nyamiriima Moslem, Kashare, Rubindi, Rwenstinga, Kyantambirere, Rubarara and Kitengere. The committee, promised a visit to each of the sites, to ascertain progress.</p> <p>In another meeting that was held on 07/12/2020 vide Min. 04/12/2020, the meeting was informed of the appointment of SMC members for various schools. The committee called on the department to arrange an orientation for them, on their roles and responsibilities.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was no evidence that the LG had conducted activities to mobilize, attract and retain children at school.</p>	0

Investment Management

12	Planning and budgeting for investments	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	<p>Thee was evidence that there was an up-to-date asset register that set out schools facilities and equipment relative to basic standards.</p> <p>In the three sampled schools; Bwizibwera Town School, there were four(4) classroom blocks with 11 classrooms, three (3) latrine blocks, with 16 stances, 135, 3 -seater desks and no teachers house. Rubindi Girls(semi-urban) there were six(6) classroom blocks with 11 classrooms, four(4) latrine blocks with 16 stances, 153, 3 - seater desks and one teachers house accommodating three(3) teachers and Akashanda (rural) there were four(4) classroom blocks with 12 classrooms, three (3) latrine blocks with 14 stances , 102,- 3 seater desks and one (1) teachers house, housing seven(teachers). Data in the consolidated Asset register at the DEOs office, a copy of the asset register at the school and the physical observation at school, were collaborated and found in tandem.</p>	2
	<i>Maximum 4 points on this performance measure</i>			
12	Planning and budgeting for investments	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	<p>The LG conducted desk appraisal for all sector projects in the budget and investments were obtained from the LGDP III-2019/2020 -2024/2025, pages; 37,39-43,171, eligible for expenditure under sector guidelines and funding source. The appraisal report dated, 02/10/2020, 02/12/2020, 03/12/2020, 13/12/2020.</p> <p>The appraisals were carried out by; DEO-Atuhairwe Ezra; Inspector of Schools-Muhwezi Deo; District Planner-Johnson Tusimireyo; DCDO-Byaruhanga Arthur and Senior Environment Officer-Nuwagaba David Sancho.</p> <p>The following projects were appraised;</p> <ol style="list-style-type: none"> 1. Construction of 2-calrrom block at Rwatsinga P/S 2. Construction of 2-classrom at Kyamatambarire P/s. 3. Construction of 2-classrom at Munyonyi P/s. 4. Construction of 2-classrom at Ruburara P/s. 5. Construction of 2-classrom at Kitengure P/s. 6. Construction of 2-clasrrom block at Rubindi Girls P/s. 7. Construction of Bukiro Secondary School. 8. Construction of a 2-unit staff house at Nyamirima Muslim P/S. 	1
	<i>Maximum 4 points on this performance measure</i>			

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>The LG provided a field appraisal for, technical feasibility, environmental and social acceptability and customized designs. The appraisal dates were; 03/12/2020, 10/12/2020. The appraisals were carried out by; DEO-Atuhairwe Ezra; Inspector of Schools- Muhwezi Deo; District Planner-Johnson Tusimireyo; DCDO-Byaruhanga Arthur and Senior Environment Officer-Nuwagaba David Sancho.</p> <p>The following projects were appraised;</p> <ol style="list-style-type: none"> 1. Construction of 2-calrrom block at Rwatsinga P/S 2. Construction of 2-classrom at Kyamatambarire P/s. 3. Construction of 2-classrom at Munyonyi P/s. 4. Construction of 2-classrom at Ruburara P/s. 5. Construction of 2-classrom at Kitengure P/s. 6. Construction of 2-clasrrom block at Rubindi Girls P/s. 7. Construction of Bukiro Secondary School. 8. Construction of a 2-unit staff house at Nyamirima Muslim P/S. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>As per the Approved Budget Estimates, the following projects were incorporated in the AWP and Procurement Plans for the current FY</p> <ol style="list-style-type: none"> 1) Construction of a Seed School in Rwanyamahembe S/County 2) Construction of a 3-Unit Staff House and a 5-Stance Pit Latrine at Kitengure P/S in Bukiro S/County; jointly Budgeted at UGX 105,000,000/=. The Contract - MBAR537/WRKS/2021/2022/00010 was awarded to M/S Quest International Ltd at a Cost of UGX 87,482,250/= 3) Construction of of a 2-Classroom Block and a 5- Stance Pit Latrine at Nyakayojo II P/S; jointly Budgeted at UGX 104,509,920/=. The Contract - MBAR537/WRKS/2021/2022/00011 was awarded to M/S Muhwezi Abert Construction Ltd at a Cost of UGX 101,613,340/=. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>School infrastructure Projects were approved by the Contracts Committee before commencement of Works. For example</p> <p>a) Under Min. 22/09/2020/2021(a) of the Contracts Committee meeting held on 17/9/2020, the Construction of a 2-Classroom Block at Rwantsinga P/S, and Kyamatambarire P/S in Rubaya S/County - MBAR537/WRKS/2020/2021/00002 was approved.</p> <p>b) Under Min. 22/09/2020/2021(b) of the Contracts Committee meeting held on 17/9/2020, the Construction of a 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County, and Construction of a 2-Classroom Block at Rubindi Girls' P/S in Rubindi S/County - MBAR537/WRKS/2020/2021/00004 was approved.</p> <p>c) The Construction of Bukiro Seed S. Sch – final Contract Extension (up to 30/5/2021) was approved under Min. 111/02/2020/2021(c) of the Contracts Committee meeting held on 26/2/2021. Prior to commencement of works, the project was approved under Min 157/03/2018/2019 of the Contracts Committee meeting held on 18/3/2019</p> <p>The Solicitor General (S/G) cleared the contract Award (UGX 1,905,352,400/=) to M/S Cream General and Technical Services Ltd for the construction of Bukiro Seed School project in a letter endorsed by Karungi Betty Gafabusa, on behalf of the S/G</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was evidence of proper establishment of the PITS for the school construction projects constructed within the last FY as per guidelines</p> <p>A copy of joint appointment of the Civil Engineer - for DE (Project Manager), DEO (Contract Manager), DCDO, DNRO (as Environment Officer) and others as members of the PIT for Infrastructure projects for FY 2020/2021 The letter was dated 7th August, 2020</p> <p>The AEO, <i>Tugume J. B</i> was also appointed as Supervisor for the Construction works at Nyamirima Moslem P/S, Rubindi Girls P/S, Rwantsinga P/S, and Kyamatambarire P/S in a letter dated 4th November, 2020</p> <p>The Clerk of Works - <i>Twijukye Isaac</i> was appointed on 17/3/2020 for the construction of Bukiro Seed School</p>	1

13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES <i>Score: 1, else, score: 0</i>	The sampled projects as per the physical checks during the site visits were implemented following MoES technical designs. 1) The number of Blocks (Classrooms, Sci. Lab, ICT/Library Block, Main Hall, the twin Staff houses including the corresponding Kitchen and latrine Blocks – all set as per standards) at Bukiro Seed Sec School Project. All the structural elements in beams and Columns were done. 2) The 2-Classroom Block at Rwantsinga P/S in Rubaya S/County, was implemented following Standard technical designs with the Classroom Block, each class measuring 7800x6400mm on the interior, in Masonry brick walls of 230mm. The structure was roofed in Ordinary/Corrugated Iron Sheets on treated timber trusses with fascia boards. The enclosures (steel casements), ie Doors (each 900x2400mm) and glazed Windows – 1500mmx1200mm, The Ramps and general protection of the Plinth walls was done properly. The general finishing works in Plastering, floor works in cement – sand screeding with dividing strips to mitigate cracking, Chalk Boards (4500mmm wide by 1200mm high) and painting; all done as per the BoQs. 3) The 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County was implemented following a simple design layout provided by the LG Engineer. The structure was roofed in corrugated Iron Sheets on Timber trusses and fascia boards general finishing and painting all done as per the BoQs.	1
13	Procurement, contract management/execution <i>Maximum 9 points on this performance measure</i>	e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i>	Monthly Site Meetings were conducted for School infrastructure projects during the previous FY (2020/2021) Copies of the minutes for meetings held at the Construction of Bukiro Seed School on 2/6/2020, 15/7/2020, and 25/8/2020 were availed to the Assessor,	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted *score: 1, else score: 0*

Monthly Joint Technical supervisions of the construction of planned sector infrastructure projects were regular (w.r.t Critical stages).

The Participation of the environment officer and CDO among other officers was evidenced in site meetings held, and also the Joint inspections/supervision and environment monitoring reports below;

a. Inspection/Progress/Status reports dated 25/11/2020, 4/12/2020, 23/12/2020, 21/12/2020, 20/1/2021, 2/2/2021, 30/3/2021 among others

b. Monitoring Reports dated 10/12/2020, 18/12/2020, 2/2/2021 and 14/4/2021 on Implementation of Environmental and Social Safeguards for School projects;

The projects sampled included;

- Construction of a Bukiro Seed Sec School - Bukiro S/County, with Practical Completion Report dated 25/5/2021
- Construction of a 2-Classroom Block at Rwantsinga P/S, and Kyamatambarire P/S in Rubaya S/County. The substantial completion Certificate was dated 2/2/2021
- Construction of a 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County, and Construction of a 2-Classroom Block at Rubindi Girls P/S in Rubindi S/County. The substantial completion Certificate was dated 30/3/2021

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The CFO provided evidence to the assessor that indicated the sector infrastructure projects were properly executed and payments to contractors made within specified timeframes within the contract. The projects were certified by the DEO – Gabriel Ayimisibwe; District Engineer, William Bananuka and Supervisor of Works-Ssozi Emmanuel, certified works on Education construction projects in the previous FY before the LG made</p> <p>The sample projects were;</p> <ol style="list-style-type: none"> 1. Construction of 2 classroom block at Munyonyi p/s & Ruburara P/s by Woodfix Technical services Ltd. Requisitioned for funds on 18/01/2021. Certified for payment on 27/01/2021. Paid on 11/02/2021 by EFT. 34368820 Shs.52,703,537. 2. Construction of 2 classroom at Kitengure p/s in Bukiro s/county by MUMA constructions Ltd. MBAR537/WRKS/2020-2021/00001. Requisitioned for funds on 12/03/2021. Certified works on 26/03/2021. Paid on 21/04/2021 by EFT. 35981124, Shs. 60,961,493. 3. Construction of 3-unit staff house at Nyamirima moslem p/s & two classroom block at Rubindi girl's p/s by Dalach Investments limited. Requisitioned on 29/03/2021. Certified works on 31/03/2021. Paid on 24/04/2021 by EFT.35981140, Shs. 121,110,014. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>From the PDU, it was evidenced that the LG Education Department timely submitted a Procurement Plans for the FY 2021/22 to the PDU on 26/4/2021 as per Memo endorsed by the DEO (<i>Ahimbisibwe Gabriel</i>).</p> <p>The following projects were included among other Works/Supplies;</p> <ol style="list-style-type: none"> a. Construction of a Seed School at Rwanyamahembe b. Construction of a 2-Classroom Blocks at Nyakayojo II P/S, and others c. Construction of a 5-Stance Lined Pit Latrines (2No.) at Nyakayojo II P/S, Kitengure P/S and others d. Maintenance of Kakyeeeka Stadium 	1

Procurement, contract management/execution

Maximum 9 points on this performance measure

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

From the Procurement Plan and procurement Files; there were complete procurement files for all the school infrastructure projects; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals. The project Files sampled included the following;

a) Construction (Completion) of School Facilities at Bukiro Seed Sec School at Bukiro S/County – **MoES-UgIFT/WRKS/2018-19/00119 LOT 7**; Contract period Extension (for with M/S Cream General and Technical Services Ltd) was approved by the Contracts Committee under Min 111/02/2020/2021(c) in a meeting held on 26/2/2021.

b) Construction of a 2-Classroom Block at Rwantsinga P/S, and Kyamatambarire P/S in Rubaya S/County - **MBAR537/WRKS/2020/2021/00002**; approved by the Contracts Committee under Min. 22/09/2020/2021(a) on 17/9/2020 after evaluation. The Contract with M/S JB Kabuyanda Ltd was signed on 3/11/2020

c) Construction of a 3-Unit Staff House at Nyamirima Moslem P/S in Kashare S/County, and Construction of a 2-Classroom Block at Rubindi Girls P/S in Rubindi S/County - **MBAR537/WRKS/2020/2021/00004**; approved by the Contracts Committee under Min. 22/09/2020/2021(b) on 17/9/2020 after evaluation. The Contract with M/S Dalach Investments Ltd was signed on 3/11/2020

The above files were complete with, evaluation reports and approvals/minutes of the Contracts Committee. Projects are on the Contract Register for the FY 20/21

Environment and Social Safeguards

14

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

Maximum 3 points on this performance measure

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

Education department didnot have issues in the register needing redress in line with the grievance redress framework.

3

15	Safeguards for service delivery. <i>Maximum 3 points on this performance measure</i>	Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation <i>Score: 3, or else score: 0</i>	There was no evidence that the LG had disseminated the education guidelines to provide for access to land(without encumbrances) and other related activities.	0
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Safeguards in the delivery of investments

Maximum 6 points on this performance measure

a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, *score: 2, else score: 0*

The District implemented the following projects in previous FY;

1. Construction of 2 classroom blocks at the following schools and these had been screened, with reports prepared on 18.August.2020 -

a. Kyamatambarire Primary School in Rubaya Sub-county

b. Rubindi Girls Primary in Rubondi Sub-county

c. Kitengure Primary school in Bukiiro sub-county

d. Rwatsinga primary school in Rubaya Sub-county

e. Munyonyi Primary school in Kagongi Sub-county

f. Rubarara Primary School in Rubaya sub-county

2. There was also screening for construction of Rwanyamahembe Seed school in Kacerere, which had a screening report dated 2.July.2020

3. The Environment Office also screened construction of a 3 unit staff house at Nyamirima Moslem primary school with screening report dated 19.August.2020

All education projects had an Environment and social management report with individual plans, dated 31.August.2020.

The ESMPs were incorporated into project BoQs as presented below;

- Construction at the Rwatsinga and Kyamatambarire primary schools had BoQ incorporating 982,000 for environment and social safeguards

- Construction of the 3 unit staff house at Nyamirima Moslem primary school and 2 classroom block at Rubindi Girls primary school had 1,840,000shs catering to environment and social safeguards

- Construction of the 2 classroom block at Kitengure Primary school had incorporated 2,654,000shs

- Construction for the Munyonyi and Rubarara Primary school had environment and social mitigations costed at 1,413,500shs and 1,323,000shs respectively.

16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i>	<p>Education projects had proof of land ownership as presented below;</p> <p>Rwanyamahembe Seed school in Kacerere Village had a freehold offer made on application dated 13.September.2007 for 6ha. Offer made against Minute No. MDLB 14/14/05 (A)(148) of 2.July.2014.</p> <p>Rwatsinga Primary school had a Memorandum of Understanding with Mbarara DLG for 4 acres from St. Mathew Church of Uganda Rwatsinga Archdeaconry signed on 15.December.2021</p> <p>Proof of land ownership for other education projects implemented previous FY were not presented for review at assessment.</p>	0
16	Safeguards in the delivery of investments <i>Maximum 6 points on this performance measure</i>	c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i>	<p>Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs however, monthly follow-up on the recommendations from site inspections were not done. For example;</p> <p>All classroom blocks constructed in Bubaare, Rubaya and Kagongi Sub-county had a monitoring report dated 29.January.2021</p> <p>Construction works at Munyonyi and Ruburare primary school had a monitoring report dated 27.January.2021</p> <p>Construction for the classroom blocks and staff house in Nyamirima Moslem primary school had one report, dated 18.December.2020</p> <p>Therefore, consistent monitoring for these construction projects was not undertaken.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

Projects within the Education department were certified by Environment and Community Development Office before payment was effected;

Payment Certificate No. 3 to M/S JB Kabuyanda Limited for construction works at Rwantsinga and Kyamatambarire primary school in Rubaya sub-county was signed off by Supervisor, District Education Officer, Chief Administrative Officer, Environment Officer, District Community Development Officer, District Engineer on 3.February.2021. An EFT was made later for 29,478,937shs on 15.February.2021

Payment for construction of the 3 unit staff house at Nyamirima Moslem Primary school and the 2 classroom block at Rubindi Girls Primary School was signed off by the Assistant Engineering officer, District Engineer, CAO and District Education officer on 31.March.2021. An Environment and Social certificate was prepared for the project, dated 14.April.2021 and thereafter, an EFT made for the projects for 127,598,049shs on 27.April.2021.

Construction of a 2 classroom block at Kitengure primary school had a payment certificate No.1 signed off by the Supervisor, District Natural resources Officer, District Education Officer, Chief Administrative Officer, District Community Development Officer, District Engineer on 30.March.2021. An EFT was made thereafter on 23.April.2021 for 64,227,287shs to Muma Construction Limited.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>There was evidence that the LG Health department registered an increase in utilization of healthcare services by 112.5% between FY 2019/2020 to 2020/2021. Delivery data was obtained for the FY 2019/2020 and 2020/2021 for all HC IVs and Ills in the LG. Total deliveries for the FY 2019/2020(Old) was 1682 and FY 2020/2021(New) was 3574. Percentage change was calculated using (New-Old)/Old x100 = (3574 - 1682)/1682 x 100 = 112.5%</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted for Shs. 96,640,000 (Annual Budget Performance Report-page 15) for health sector development grant . The amount spent was Shs. 96,640,000 (Annual Budget Performance Report-page 62) on the construction of an OPD completion at Rubaya staff house at health center III phase II.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The DHO –Dr. Ssebutinde Peter; District Engineer, William Bananuka; District Natural Resource Officer-DCDO-Byaruhanga Arthur and Supervisor of Works, Ssozi Emmnauel certified works on health projects before the LG made payments to the contractors and suppliers.</p> <p>The payments made were as follows;</p> <ol style="list-style-type: none"> 1. Construction of OPD at Bubaare HC III by Extech Technical Services Ltd. MBAR537/WRKS/2020-2021/00011. Requisitioned for funds on 25/05/2021. Certified works on 11/06/2021.Paid on 19/06/2021 by EFT.3706803, Shs. 54,587,456. 2. Construction of Staff House at Rubaya HC III by Extech Technical Services Ltd. MBAR537/WRKS/2020-2021/00010. Requisitioned on 11/03/2021. Certified works on 26/03/2021.Paid on 20/04/2021 by EFT.35981142, Shs. 29,525,787. 3. Construction of OPD at Bubaare HC III by Extech Technical Services Ltd. MBAR537/WRKS/2020-2021/00011. Requisitioned for funds on 11/03/2021. Certified works on 29/03/2021. Paid on 20/04/2021, by EFT. 35981142, Shs. 29,916,127. 	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>From the DE and DHO, the following Works contracts were sampled; and the Engineers estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages:</p> <ol style="list-style-type: none"> 1. Upgrade (Phase I) of Kicwamba HC II to HC III in Nyakayojo Division - <i>MBAR537/WRKS/2020/2021/00009</i>, with Engineers Estimates at UGX 400,172,565/=. The contract Price was UGX 395,935,811/=. The Variation was at 1.06% 2. Construction of a Staff House at Rubaya HC III (Phase II) in Rubaya S/County - <i>MBAR537/WRKS/2020/2021/00010</i>, with Engineers Estimates at UGX 55,079,421/=. The contract Price was UGX 53,960,633/=. The Variation was at 2.03% 3. Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) - <i>MBAR537/WRKS/2020/2021/00011</i>. The Budget amount/Engineers Estimates was UGX 100,000,000/=. The contract Sum was UGX 96,725,780/=. The Variation was at 3.27% <p>The variations were thus within +/-20% of the MoWT/LG Engineers estimates</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>Upgrade of Kicwamba HC II to HC III in Nyakayojo Division - <i>MBAR537/WRKS/2020/2021/00009</i> (Phase I) is complete as per work plan, The other phases of completion (majorly finishing works) to be done later as and when funds allow, and settlement of which LG (Mbarara City or the DLG) shall be responsible.</p> <p>The Maternity Ward, VIP Latrine Block were up well roofed – with floor works in Terrazzo done. The Placenta Pit major civil works were also done. Progress as per Scope of Phase I was 100% complete</p> <p>Other infrastructures were implemented - like the Construction of a Staff House at Rubaya HC III (Phase II), and Construction of an OPD at Bubaare HC III (Phase II) all complete, but call to this indicator as per the LGMSD 2021 manual reviews call for Ref. HC II to HC III Upgrade</p>	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>The district health structure for 1 HC IV and 6 HC III was 162 posts filled substantively 145 posts equivalent to 91%.</p>	2

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>Upgrade of Kicwamba HC II to HC III is not complete – However, the current status as per Phase I scope of works and reports on the finished stages were up to standard; The general layout - maternity/delivery Ward, Pre-Natal and Post Natal wards, the Linen Store, Sluice Rooms, Assisted Bath and the general wards (Male, Female, and Pediatric). Nurse Station, and the In-charge/Night duty station rooms, etc. were all set according to the plan, along with the Roof structure with trusses in Steel sections (RHS, SHS and Z-Purlins), and IT4 Sheets - G26. Other structures like the Lined 4-stance Latrine Block with Showers (LG opted to use burnt clay bricks on the Superstructure), and the Placenta Pit were also up to standard</p> <p>Other infrastructures were implemented, but call to this indicator as per the LGMSD 2021 manual reviews call for Ref. HC II to HC III Upgrade</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence to show that health workers are in place as indicated in the staff list provided by the DHOs office for the FY 2021/2022</p> <p>The assessment team reviewed the staff list obtained from the DHOs office and compared with what was provided at the sampled facilities. The findings are summarized below;</p> <p>Bwizibwera HC IV: The deployment list provided by the DHOs office tallied with what was obtained at the facility i.e. 48 government payroll staff in place with (01 on study leave and 04 on annual leave)</p> <p>Rubindi HC III: The deployment list provided by the DHOs office tallied with what was obtained at the facility i.e. 16 staff in place with 01 staff on maternity leave.</p> <p>Karwesanga HC II: The deployment list provided by the DHOs office tallied with the list provided at the facility i.e. 07 staff in place.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>Kichwamba HCII was upgraded to HCIII in FY 2020/2021 (OPD and Maternity Construction). The information submitted in the PBS on construction status and functionality is accurate.</p> <p>OPD and Maternity construction phase1 was completed(Mbarara District Local Government Quarterly Performance Report FY 2020/21 Quarter 4 pg. 61)</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <p>• Score 2 or else 0</p>	<p>There was evidence that the health facility Annual work plan and Budget for the FY 2020/2021 conformed to the Budget and Grant Guidelines and were submitted timely i.e. by 31st of March 2021</p> <p>Rubindi HC III: The annual work plan and budget was prepared by Basemere Grace (In charge) and submitted to DHOs office on 5th March 2021</p> <p>Kagongi HC III: The annual work plan and budget was prepared by Moses Tuwangye (In charge) and submitted to DHOs office on 18th Feb 2021</p> <p>Kashere HC III: The annual work plan and budget was prepared by Mugume Bunanukye(In charge) and submitted to the DHOs office on 2nd March 2021</p>	2
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <p>• Score 2 or else 0</p>	<p>There was no evidence provided to the assessment team to show that sampled HFs prepared Annual Budget Performance Reports for the FY 2020/2021 that conformed to the Budget and Grant Guidelines. No copies of the budget performance reports were availed to the assessment team for the sampled facilities by the end of day two</p>	0
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Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

• Score 2 or else 0

There was evidence that the sampled health facility improvement plans for the FY 2021/2022 incorporated performance issues identified in DHMT monitoring and assessment reports. Performance issues identified during DHMT quarterly performance supervision visits for;

Q1: Report for integrated support supervision Quarter 1 FY 2020/2021; report dated 1st October 2020.

2: Support supervision report for Q2 FY 2020/2021, report dated 14th December 2020

Q3: Report for integrated support supervision for Q3 FY 2020/2021; report dated 10th March 2021

Q4: Supervision for data cleaning activities. Report dated August 10th 2021, included among other data quality issues, drug stock out, low knowledge level among some category of staff, low utilization of immunization and ANC services and low staffing level.

There was evidence that these performance issues were incorporated into the HF PIPs.

1. Bwizibwera HC IV: The plan was prepared by was prepared by Dr. Atuhairwe Richard (In charge) on 16th July 2021 and approved by CAO on 10th August 2021

Some of the activities incorporated included;

- Hold Continuous Medical Education (CME) meetings
- Conduct community sensitization activities
- Ensure availability of drugs
- Improvement of customer care

2. Rubaya HC III: The plan was prepared by Tusasirwe Evelyn Minyeto (In charge). Some of the activities incorporated included;

- Conduct VHT quarterly review meetings
- Hold monthly QI meetings
- Hold monthly staff meetings
- Conduct ANC outreaches
- Conduct CMEs

3. Rubindi HC III: The plan was prepared Basemera Erate (In charge). Some of the activities incorporated included;

- Conduct community dialogue to increase uptake of ANC services
- Hold quarterly VHT meetings
- Conduct CMEs at health facility
- Conduct outreach activities

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

• score 2 or else score 0

There was evidence that all (100%) of the monthly (12) and quarterly (4) reports for the FY 2020/2021 for the sampled facilities were submitted timely. The assessment team reviewed HMIS 104 and 105 reports for Bwizibwera HC IV, Rubindi HC III and Rubaya HC III. The details are summarized below;

Month Bwizibwera HC IV Rubindi

HC III Rubaya

HC III

Jun 21 4/7/21 7/7/221 7/7/21

May21 3/6/21 7/6/21 7/6/21

Api 21 5/5/21 7/5/21 7/5/21

Mar 21 2/4/21 7/4/21 6/4/21

Feb 21 5/3/21 7/3/21 5/3/21

Jan 21 5/2/12 5/2/21 5/2/21

Dec 20 5/1/21 7/1/21 7/1/21

Nov 20 5/12/20 7/12/20 5/12/20

Oct 20 4/11/20 5/11/20 6/11/20

Sep 20 4/10/20 7/10/20 3/10/20

Aug 20 7/9/20 6/9/20 4/9/20

Jul 20 5/8/20 7/8/20 5/8/20

QTR Bwizibwera HC IV Rubindi

HC III Rubaya

HC III

Q1 7/10/20 7/10/20 6/10/20

Q2 6/1/21 7/1/21 6/1/21

Q3 3/4/21 7/4/21 6/4/21

Q4 7/7/21 7/7/21 6/6/21

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

Not All the previous quarter invoices for the participating RBF facilities were submitted timely i.e. by 15th of the month following the end of the quarter. The HFs did not conduct self-assessment however, the DHMT conducted assessment and submission of invoices were made as follows;

- Kashare HC III: Invoice prepared by Mugumye Bunanukye and submitted on 2nd November 2021 (Submission made after the stipulated timeline ie 15th October 2021)
- Kagongi HC III: Invoice prepared by Moses Tuwangye and submitted on 2nd October 2021
- Bukiro HC III: Invoice prepared by Chwaa Catherine and submitted on 3rd October 2021
- Rubaya HC III: Invoice prepared by Tusasirwe Evelyne and submitted on 2nd October 21
- Bwizibwera HC IV: Invoice prepared by Dr Atuhirwe Richard and submitted on 4th October 2021
- Bubaare HC III: Invoice prepared by Nabaasa Willis 3rd November 2021 (Submission made after the stipulated timeline i.e. 15th October 2021)
- Rubindi HC III: Invoice prepared by Basemeera Grace and submitted on 3rd October 2021

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

Two out of the four quarterly HF consolidated RBF invoices for the FY 2020/2021 were submitted timely. The assessment team did not find any documentation to establish the timeliness of submission of Q1 and Q3 invoices. The details of the invoices reviewed are provided below;

Quarter 1: The invoice was prepared by Nshabohurira Agatha(RBF Focal Person) on 23rd October 2020, with an invoice amount of 171,893,640 UGX: There was no evidence of submission inform of email or acknowledgement from MoH

Quarter 2: The invoice was prepared by Nshabohurira Agatha(RBF Focal Person) on 21st January 2021, with an invoice amount of 172,540,090 UGX submitted on the same day(email confirmation)

Quarter 3: The invoice was prepared by Nshabohurira Agatha (RBF Focal Person) on 27th April 2021, with an invoice amount of 184,155,530 UGX. There was no evidence of submission inform of email or acknowledgement from MoH

Quarter 4: The invoice was prepared by Nshabohurira Agatha(RBF Focal Person) on 19th July 2021, with an invoice amount of 198,976,330 UGX and submitted on the same day(Email confirmation)

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG did not timely (by end of the first month of the following quarter) compiled and submit all quarterly (4) Budget Performance Reports and status were as follows;</p> <table border="1" data-bbox="762 237 1358 506"> <thead> <tr> <th>Date submitted</th> <th>Required</th> <th>Date of Submission</th> </tr> </thead> <tbody> <tr> <td>Q 1 -13/11/2020</td> <td></td> <td>31/10/2020</td> </tr> <tr> <td>Q 2 -10/02/2021</td> <td></td> <td>31/01/2021</td> </tr> <tr> <td>Q 3 -22/06/2021</td> <td></td> <td>30/04/2021</td> </tr> <tr> <td>Q 4 -24/08/2021</td> <td></td> <td>31/07/2021</td> </tr> </tbody> </table> <p>The LG claims the reporting system is always opened late for submission.</p>	Date submitted	Required	Date of Submission	Q 1 -13/11/2020		31/10/2020	Q 2 -10/02/2021		31/01/2021	Q 3 -22/06/2021		30/04/2021	Q 4 -24/08/2021		31/07/2021	0
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Q 4 -24/08/2021		31/07/2021																	
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was evidence that the LG health department developed performance improvement plan for the lowest performing health facilities(Kagongi HC III, Kaliro HC II, Kashare HC III, Rubindi HC III and Bwizibwera HC IV). The plan was prepared by Ayebare Sylvia- Biostatistician</p> <p>Performance gaps identified at in all the 5 HFs included data quality issues , low utilization of immunization services and low staffing level among others</p>	1															
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was evidence that the LG- health department implemented Performance Improvement Plan for the lowest performing health facilities</p> <p>All the performance issues were addressed during the quarterly support supervision visits</p> <p>Q1: Report for integrated support supervision Quarter 1 FY 2020/2021; report dated 1st October 2020.</p> <p>2: Support supervision report for Q2 FY 2020/2021, report dated 14th December 2020</p> <p>Q3: Report for integrated support supervision for Q3 FY 2020/2021; report dated 10th March 2021</p> <p>Q4: Supervision for data cleaning activities. Report dated August 10th 2021.</p>	1															

Human Resource Management and Development

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

There was evidence that the LG-Health department did not budget for health workers as per guidelines. The information obtained from the DHOs office indicated that, the LG health department has 15 HFs (1 HC IV, 06 HC IIIs and 08 HC IIs), with an approved staff structure of 248 Health workers. The department budgeted for 234(98.4%) health workers with a total wage of = 2,967,000,000 UGX FY 2021/2022(Mbarara Local Government – Approved Annual Estimates for FY 2021/2022)

0

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

a) Evidence that the LG has:
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

There was evidence that the LG health department deployed health workers as per guidelines/staffing levels and norms in the FY 2021/2022(i.e. at least all the HFs to have 75% of the staff required).

Bwizibwera HC IV 48/48(100%)

Bubaare HC III 17/19(89.5%)

Bukiiro HC III 15/19(78.9%)

Kagongi HC III 17/19(89.5%)

Kashare HC III 16/19(84.2%)

Rubindi HC III 16/19(84.2%)

Rubaya HC III 16/19(84.2%)

Mugarutsya HC II 7/9(77.8%)

Nyarubungo HC II 7/9(77.8%)

Karwesanga HC II 7/9(77.8%)

Kariro HC II 7/9(77.8%)

Nyabisirirs HC II 7/9(77.8%)

Mabira HC II 7/9(77.8%)

Itara HC II 7/9(77.8%)

Bwengure HC II 7/9(77.8%)

(Ref: Mbarara Staff Establishment per facility as at 21st October 2021)

2

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>There was evidence that the health staff in the sampled facilities are working where they are deployed.</p> <p>The assessment team reviewed the staff list obtained from the DHOs office and compared with what was provided at the sampled facilities. The findings are summarized below;</p> <p>Bwizibwera HC IV: The deployment list provided by the DHOs office tallied with what was obtained at the facility i.e. 48 government payroll staff in place with (01 on study leave and 04 on annual leave)</p> <p>Rubindi HC III: The deployment list provided by the DHOs office tallied with what was obtained at the facility i.e. 16 staff in place with 01 staff on maternity leave.</p> <p>Karwesanga HC II: The deployment list provided by the DHOs office tallied with the list provided at the facility i.e. 07 staff in place.</p>	3	
<p>Maximum 9 points on this performance measure</p>	7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>The list of health workers deployed in the sampled facilities were displayed on the health facilities notice boards.</p> <p>Bwizibwera HC IV had a list of 82 health workers displayed(48 government payroll staff, 29 from IPs and 05 on RBF)- not dated</p> <p>Rubindi HC III had a list of 16 health workers displayed, not dated</p> <p>Karwesanga HC II had a list of 07 health workers displayed, not dated</p>	2
<p>Maximum 9 points on this performance measure</p>	8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>There was no evidence that DHO had conducted annual performance appraisal for all Health facility In-charges against the agreed performance plans and submitted copies to HRO; Dr Atuhairwe Richard Bizibwera HC IV (05/08/2021), Tusasirwe Everlyn Minyeto Rubaya HC III (27/09/2021), Twinomugisha Jane Mabira HC III (30/07/2021), Atuhame Eunice Kagongi HC III (07/10/2021), Basemera Grace Rubindi HC III (Not appraised), Nabaasa Willis Rubale HC III (Not appraised), Bukwatsizo Atukunda (26/07/2021), Gumoshabe Christine (Not appraised), Akankwasa Tosha (08/10/2021) and Kembabazi Annet (Not appraised).</p>	0
<p>Maximum 6 points on this performance measure</p>					

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>There was evidence that the Health Facility In-charges had conducted appraisal of all health facility workers against the agreed performance plans and submitted copies to HRO as follows; Nakabuye Catherine Clinical Officer (07/08/2021), Natukunda Immaculate Clinical Officer (06/10/2021), Mugabwirwe Phiobe Laboratory Technician 22/08/2021), Niwagaba Alex Enrolled Nurse (30/07/2021), Mwine Deus Enrolled Nurse (03/09/2021), Mpumwire Perry Enrolled Nurse (01/07/2021), Tusasirwe Everyline Medical Clinical Officer (08/08/2021), Nampijja Jaziira Enrolled Nurse (10/09/2021), Kusiima Julian Enrolled Nurse (30/07/2021) and Atuhairwe Eunice Enrolled Midwife (07/10/2021).</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence provided as a proof that corrective action was taken after the appraisal of health workers.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence to show that the LG- Health department conducted and documented training activities in the FY 2020/2021. Among the trainings conducted included;</p> <ul style="list-style-type: none"> - Orientation of health workers on results based financing, conducted on 12th August 2020, for 30 participants. - Orientation of VHTs on Integrated Child Days(ICDs), conducted on 4th April 2021 for 24 VHTs - COVID 19 case management, conducted 6th November 2020, for 29 health workers 	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG health department is maintaining a training log for all trainings that were conducted/attended in the FY 2020/2021. The training log was prepared by Kamugisha Fredrick- District Health Educator</p>	1

Management, Monitoring and Supervision of Services.

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.
Maximum 9 points on this performance measure

a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0

There was no evidence provided by the LG Health department to show that the CAO confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed. By the end of day 2 no documentation had been availed to the assessment team.

0

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.
Maximum 9 points on this performance measure

b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.

The Kiruhura PHC budget for FY 2020/21 was Shs.619,817,000 (page 15) and allocated, Shs. 53,217,000 (page, 56, 57) for monitoring and service delivery. This was 8.6% which met the requirement of 15% maximum.

2

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.
Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The LG did not timely warrant direct transfers to health facilities in accordance to the requirements of not more than 5 working days. Time taken; Q 1-13 days; Q 2-10 days; Q 3-8 days; and Q 4-10 days.

The warrants were made on the following dates;

Cash release	Date Warranted
Q 1 -14/07/2020	27/07/2020
Q 2 -11/10/2020	21/10/2020
Q 3 -13/01/2021	21/01/2021
Q 4 -05/04/2021	15/04/2021

0

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice and communicate PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter. Time taken, Q 1- 22 days; Q 2 –11 days; Q 3-15 days and Q 4 -14 days.</p> <p>The status was as below:</p> <table border="1" data-bbox="762 360 1217 629"> <thead> <tr> <th>Cash release</th> <th>Date Invoiced</th> </tr> </thead> <tbody> <tr> <td>Q 1- 14/07/2020</td> <td>05/08/2020</td> </tr> <tr> <td>Q 2- 11/10/2020</td> <td>22/10/2020</td> </tr> <tr> <td>Q 3 -13/01/2021</td> <td>28/01/2021</td> </tr> <tr> <td>Q 4 -05/04/2021</td> <td>19/04/2021</td> </tr> </tbody> </table>	Cash release	Date Invoiced	Q 1- 14/07/2020	05/08/2020	Q 2- 11/10/2020	22/10/2020	Q 3 -13/01/2021	28/01/2021	Q 4 -05/04/2021	19/04/2021	0
Cash release	Date Invoiced													
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Q 2- 11/10/2020	22/10/2020													
Q 3 -13/01/2021	28/01/2021													
Q 4 -05/04/2021	19/04/2021													
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG did not publicize and communicate all the quarterly financial releases through noticeboard within 5 working days from the date of receipt of the expenditure limits from MoFPED. Time taken; 1 Q-8 days; Q 2- 11 days; Q 3-16 days and Q 4-10 days.</p> <table border="1" data-bbox="762 931 1265 1200"> <thead> <tr> <th>Cash release</th> <th>Date Communicated</th> </tr> </thead> <tbody> <tr> <td>Q 1- 14/07/2020</td> <td>22/07/2020</td> </tr> <tr> <td>Q 2- 11/10/2020</td> <td>12/10/2021</td> </tr> <tr> <td>Q 3 -13/01/2021</td> <td>19/01/2021</td> </tr> <tr> <td>Q 4 -05/04/2021</td> <td>15/04/2021</td> </tr> </tbody> </table>	Cash release	Date Communicated	Q 1- 14/07/2020	22/07/2020	Q 2- 11/10/2020	12/10/2021	Q 3 -13/01/2021	19/01/2021	Q 4 -05/04/2021	15/04/2021	0
Cash release	Date Communicated													
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Q 4 -05/04/2021	15/04/2021													
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was no evidence to show that LG health department implemented actions recommended by the DHMT/MMHT quarterly performance review meetings in the FY 2020/2021</p> <p>DHT meeting held on 6th December 2020 recommended that the HMIS Focal person should harmonize data being reported with the verified list to address data discrepancies on some indicators in DHIS 2 and those verified.</p> <p>The DHMT meeting held on 6th September 2020 recommended for the HFs to report to ADHO all Fresh Still birth(FSB) and audit all death</p> <p>There was no evidence provided inform of reports or minutes to show status of implementation</p>	0										

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was evidence that the LG quarterly performance review meetings involved all health facilities in charges, implementing partners, DHMTs and key LG departments;</p> <p>Quarter 1 meeting held on 6th September 2020 with 13 participants in attendance, including HFs, DHMT, DCAO, CAO and RIHTS-SW</p> <p>Quarter 2 meeting held on 6TH December 2020 with 34 participants in attendance, including HFs, DHMT, RDC, DCAO, Senior Community Development Officer, RIHTS-SW</p> <p>Quarter 3 meeting held on 5th Feb 2021, attended by 47 participants, including HF In charges, DHMT, SAS, CAO, RIHTES-SW</p> <p>Quarter 4 meeting held on 4th June 2021 attended by 29 participants, including HF In charges, DHMT, District Inspector of Schools, DCAO, Senior Community Development Officer, RHITES- SW</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The LG has 1 HC IV (Buzibwera HCIV) There was evidence that the department supervised the HC IV at least once every quarter</p> <p>Q1: Report for integrated support supervision Quarter 1 FY 2020/2021; report dated 1st October 2020. Key issues noted in Bwizibwera HC IV included; handwashing was not being enforced at the gate, VHTs had not registered children and the HF had missed conducting immunization outreaches</p> <p>2: Support supervision report for Q2 FY 2020/2021, report dated 14th December 2020</p> <p>Key gaps noted at Bwizibwera HC IV included;</p> <ul style="list-style-type: none"> - OPD experiencing understaffing due to two clinical officers - Stock out of gloves and IV fluids - 4 perinatal death were not reviewed <p>Q3: Report for integrated support supervision for Q3 FY 2020/2021; report dated 10th March 2021</p> <p>Key gap noted at Bwizibwera HC IV included poor sanitation around the latrines and stock cards were not updated</p> <p>Q4: Supervision for data cleaning activities. Report dated August 10th 2021. Issue noted at Bwizibwera HC IV included ; dispensing of contraceptives which was greater than the users</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p>	<p>There was evidence that the Health Sub District (HSD) carried out support supervision of lower level health facilities within the FY 2020/2021 .</p> <p>The details of the supervision activities are provided below;</p> <p>HSD Support supervision report Q1 2020/2021 ; prepared by Dr. Atuhairwe Richard (HSD In charge) on 22nd September 2020. A total of 9 HF's were supervised during this period</p> <p>Technical support supervision to lower health facilities in Kashari HSD Q2 FY 2020/2021 ; prepared by Dr. Atuhairwe Richard (HSD In charge) on 14th October 2020. 5 HF's were supervised during this quarter</p> <p>Kashari HSD technical support supervision report Q3 FY 2020/2021 ; prepared by Dr. Atuhairwe Richard (HSD In charge) on 30th March 2021. 7 HF's were supervised during this quarter</p> <p>Kashari HSD technical support supervision report Q4 FY 2020/2021 ; prepared by Dr. Atuhairwe Richard (HSD In charge)- not dated. 7 HF's were supervised during this quarter</p>	1
<p>Maximum 7 points on this performance measure</p>	<p>• If not applicable, provide the score</p>		1	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was evidence that the LG Health department provided recommendations from support supervision visits during the FY 2020/2021 and that their implementation was followed up</p> <p>Bwizibwera HC IV: The DHMT support supervision visit held on 15th July 2020 recommended that HF should form an IPC (Infection Prevention and Control) committee. This was implemented, the committee was formed and minutes of their meeting held on 29th September 2020 chaired by Dr. Atuhairwe Richard (HF In charge) was provided as evidence.</p> <p>Rubindi HC III: The support supervision visit held on 21st April 2021 recommended for the vaccine control book to be regularly updated. This recommendation was implemented. The vaccine and injection material control book was regularly updated by the Expanded Program for Immunization(EPI) Focal Person- Birungi Rose</p> <p>Karwesanga HC II: The support supervision visit held on 27th March 2021 recommended that the HF should start making orders for reproductive health commodities. This recommendation was implemented. Reproductive health commodities order and report form dated 1st July 2021 was provided as evidence. Items requested included, male condoms, Oral Pills and Depo-Provera</p>	1
<p>Maximum 7 points on this performance measure</p>			1	

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence to show that the LG Health department provided support to health facilities in the management of medicines and health supplies in the FY 2020/2021 .</p>	1
	<p>Maximum 7 points on this performance measure</p>		<p>Mbarara District Medicines Management SPARS supervision report period April- June 2021; prepared by Kiyingi Benon- DMMS, dated 10th September 2021. A total of 04 HFs were supervised. From 27th – 30th April, additional 08 HFs were supervised; report dated 7th May 2021 (prepared by Kiyingi Benon- DMMS)</p>	
			<p>Mbarara District Medicines Management SPARS supervision report period October- December 2020. 5 HFs were supervised; report dated 28th January 2021 (Prepared by Kiyingi Benon- DMMS)</p>	
			<p>Mbarara District Medicines Management SPARS supervision report for 1st quarter 2020/2021. A total of 8 HFs were supervised during this quarter, report dated October 18th 2020((Prepared by Kiyingi Benon- DMMS)</p>	
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG DHOs health office budget was Shs.53,217,000. The total amount allocated to health promotion and prevention activities was Shs. 33,227,000(ABPR-pages-56). This was a proportion of 62.4% which was more than 30% minimum.</p>	2
	<p>Maximum 4 points on this performance measure</p>		<p>The LG was compliant.</p>	

Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities

Maximum 4 points on this performance measure

b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0

There was evidence that DHT implemented health promotion, disease prevention and social mobilization activities in the FY 2020/2021.

Training on COVID 19 vaccination for district health team was conducted on 25th March 2021. The objective of the training was to provide an overview on the COVID 19 situation and vaccination progress in Uganda and orient trainees on the COVID 19 national vaccine deployment plan(NVDP) .(Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 25th March 2021)

Risk communication, community engagement and social mobilization/protection for COVID 19 was done. The purpose of this activity was to raise community awareness on corona virus and equip VHTs with the necessary knowledge and skills for social protection against COVID 19. All the 13 sub counties in Mbarara district were reached with IEC materials. 538 VHTs were oriented and a subcommittee for Risk Communication and Social Mobilization (RCSM) was formed.(Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 5th October 2020)

Social mobilization for Integrated Child Health Days (ICHDs) was conducted at different levels of service delivery. It was spearhead by CAO and DHT at district level. Two platforms were used for mobilization i.e. Media(2 radio talk shows and 100 radio spots/announcements through revival and Endigito radios) .(Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 2nd December 2020)

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was evidence to show that actions were taken on health promotion, disease prevention and social mobilization aspects in the FY 2020/2021</p> <p>The DHMT meeting held on 18th March 2021, Under Min 04/03/2020.- new quarter plans – the DHE was to organize and upscale the COVID 19 vaccination program. This was implemented with the initial activities targeting training of DHMT teams (Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 25th March 2021)</p> <p>The DHMT meeting held on 25th October 2020, under Min 28/10/2020- action paper. The DHE was to conduct community mobilization for child health days. This was implemented. Social mobilization for Integrated Child Health Days (ICHDs) was conducted at different levels of service delivery, spearhead by CAO and DHT at district level.(Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 2nd December 2020)</p> <p>DHMT meeting held on 5th October 2020, under Min 28/09/2020- gaps and challenges. The DHT was to do support supervision of COVID 19 activities. This was implemented. Risk communication, community engagement and social mobilization/protection for COVID 19 was done. .(Report prepared by Kamugisha Fredrick- Senior Health Educator, dated 5th October 2020)</p>	1
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence that the health department had an updated assets register which sets out health facilities and equipment relative to the medical equipment list and service standards for the specific facilities(Each individual HF had updated register stating the Item, year of acquisition, functionality etc.)</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p> <p>score 1 or else score 0</p>	<p>The evidence of that the prioritized investments in the health sector were derived from the LG Development Plan, desk appraisal and eligible for expenditure under sector guidelines and funding source, development grant, Discretionary Development Equalization Grant DDEG. These were discussed in the TPC meeting held on 18/07/2020.</p> <p>These were derived from LG DP III, pages 207, AWP- page 57. The projects were appraised by; DHO-Dr. Ssebutinde Peter; District Planner-Johnson Tusimireyo and Health Inspector-Mbirabiremu Venancio.</p> <p>The dates were; 04/07/2020, 05/07/2020 and 06/07/2020.</p> <p>Appraised projects were;</p> <ol style="list-style-type: none"> 1. Construction of OPD at Bubare HC III. 2. Construction of staff house at Rubaya HC III. 3. Construction of maternity ward, OPD and staff house ta Kichwamba HC II and Upgrade to HC III.
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>The LG provided evidence which showed the field Appraisal was conducted to check for technical feasibility, environment and social acceptability, customized designs to site. These were derived from LG DP III, pages 207, AWP- page 57. This was carried out on 04/07/2020, 06/07/2021 and 23/07/2020, to establish feasibility of the projects by; The projects were appraised by; DHO-Dr. Ssebutinde Peter; District Planner-Johnson Tusimireyo and Health Inspector-Mbirabiremu Venancio. The dates were; 04/07/2020, 05/07/2020 and 06/07/2020.</p> <p>Appraised projects were;</p> <ol style="list-style-type: none"> 1. Construction of OPD at Bubare HC III. 2. Construction of staff house at Rubaya HC III. 3. Construction of maternity ward, OPD and staff house ta Kichwamba HC II and Upgrade to HC III.
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>The Health Department implemented the following projects and had put in place mitigation measures as required by the time of assessment;</p> <ol style="list-style-type: none"> 1. Construction of a staff house at Rubaya Health Center III (phase II) was screened and report prepared on 16.July.2020 2. Construction of an OPD at Bubaare Health Center III (Phase II) was screened and report dated 16.July.2020 3. Construction of maternity ward, OPD and staff house at Kichwamba Health Center II was screened and report dated 31.August.2020 <p>Environmental Screening report for all health projects was developed and had individual costed ESMPs with mitigations therein dated 31.August.2020</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>From the PDU, it was evidenced that the LG Health Department timely submitted a Procurement Plans for the FY 2021/ to the PDU on 2/3/2021 as per Memo endorsed by the DHO (<i>Dr. Ssebutinde Peter</i>)</p> <p>The following Projects among other works and supplies were included;</p> <ol style="list-style-type: none"> a) General Repairs and Painting of District Medicine Store; Estimated at UGX 10,000,000/=. b) Construction of an OPD at Kashare HC III in Kashare S/County; Estimated at UGX 330,000,000/=. c) General Repairs and Painting of DHO's Office; Estimated at UGX 2,500,000/=. 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted Procurement Requisition Forms – LG PP Forms to the PDU by 1st Quarter of the current FY</p> <p>LG PP form 1s for the following projects were submitted, forwarded (Confirmation of Need) by the DHO and confirmation of funding by CAO</p> <p>1) Joint LG PP form 1 submitted on 12/8/2021 for the Construction of an OPD; 5-Stance Latrine; and a Placenta Pit at Kashare HC III in Kashare S/County - Estimated at UGX 330,600,000/=. The Contract - <i>MBAR537/WRKS/2021/2022/00008</i> was awarded to M/S Cream General and Technical Services Ltd at a cost of UGX 325,912,914/= subject to clearance from the Solicitor General</p> <p>2) LG PP form 1 submitted on 19/8/2021 for the General Repairs and Painting of District Medicine Store – Estimated at UGX 9,999,910/=. The Contract - <i>MBAR537/WRKS/2021/2022/00016</i> was awarded to M/S Buwaro Investments Ltd at a cost of UGX 9,928,520/=</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Health infrastructure Projects for the previous FY (2021/2022) were approved by the Contracts Committee before commencement of Works. For example</p> <ul style="list-style-type: none"> • Under Min. 22/09/2020/2021(j), the Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) - <i>MBAR537/WRKS/2020/2021/00011</i>; approved by the Contracts Committee in a meeting held on 17/9/2020 • Under Min. 22/09/2020/2021(k), the Construction of a Staff House at Rubaya HC III (Phase II) in Rubaya S/County- <i>MBAR537/WRKS/2020/2021/00010</i>; approved by the Contracts Committee in a meeting held on 17/9/2020 • Under Min. 22/09/2020/2021(l), the Construction of a Maternity Ward - Upgrade of Kicwamba HC II to HC III in Nyakayojo Division; approved by the Contracts Committee in a meeting held on 17/9/2020 <p>The Solicitor General (S/G) cleared the contract Award - <i>MBAR537/WRKS/2020/2021/00009</i> to M/S Bana Enterprises Ltd (UGX 395,935,811/=) for the construction (Upgrade) of Kicwamba HC II.</p>	1
<p>Maximum 10 points on this performance measure</p>	<p>Maximum 10 points on this performance measure</p>			

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p>	<p>There was evidence of proper establishment of the PITS for the Health construction projects within the last FY as per guidelines. The following examples were cited;</p> <p>A copy of joint appointment of the Civil Engineer - for DE (Project Manager), DHO (Contract Manager), DCDO, DNRO (as Environment Officer) and others as members of the PIT for Infrastructure projects for FY 2020/2021 The letter was dated 7th August, 2020</p>	1
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>	<p>The AEO, <i>Tugume J. B</i> was also appointed as Supervisor for the; Upgrade of Kicwamba HC II to HC III in a letter dated 3rd November, 2020; Construction of an OPD at Bubaare HC III (Phase II) in a letter dated 28th October, 2020; and Construction of a Staff House at Rubaya HC III (Phase II) in a letter dated 27th October, 2020</p>	
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p>	<p>The sampled projects included the following, and were Compliant as per approved MoH Facility Infrastructure Designs</p> <p>1) Upgrade of Kicwamba HC II to HC III is not complete – However, the current status as per Phase I scope of works and reports on the finished stages were up to standard; The general layout - maternity/delivery Ward, Pre-Natal and Post Natal wards, the Linen Store, Sluice Rooms, Assisted Bath and the general wards (Male, Female, and Pediatric). Nurse Station, and the In-charge/Night duty station rooms, etc. were all set according to the plan, along with the Roof structure with trusses in Steel sections (RHS, SHS and Z-Purlins), and IT4 Sheets - G26. Other structures like the Lined 4-Stance Latrine Block with Showers (LG opted to use burnt clay bricks on the Superstructure), and the Placenta Pit were also up to standard</p> <p>2) Construction of a Staff House at Rubaya HC III (Phase II - completion) in Rubaya S/County; in general plastering works, ceiling works and painting all done as per the BoQs and DE's Instructions The said finishing/completion works (Phase II) were done on a well-set structure (Phase I)</p> <p>3) The Constructed Out-Patient Department (OPD) at Bubaare HC III (Completion Works) in Ceiling Works, Terrazzo works, general plastering works and painting all done as per the BoQs and DE's Instructions The said finishing/completion works (Phase II) were done on a well-set structure (Phase I) of a Standard OPD as per MOH design guidelines with the Waiting Area – with benches (finished with Terrazzo as well), the EPI, Examination and Treatment Rooms, the Laboratory, Counselling Rooms etc. all in Terrazzo Floor finish</p>	1
	<p>Maximum 10 points on this performance measure</p>	<p>If there is no project, provide the score</p>		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was No evidence of daily/weekly records maintained by the Clerk of Works. The LG alluded to absence of C.o.W as that was not provided for in the financial obligations of the Contract/project for the Upgrade of Kicwamba HC II to HC III (Phase I); and that the Project was not <i>UgiFT</i> funded as the other HC Upgrades elsewhere</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was NO evidence that the LG held Monthly site meetings for the health infrastructure projects (Upgrade of Kicwamba HC II to HC III - Phase I) implemented during the previous FY (2020/2021)</p> <p>No minutes were seen by the Assessor.;</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>Joint Technical supervisions of the construction of health infrastructure projects (DE, DHO, AEO, E.O, DCDO among other officers) were regularly (monthly) carried out (w.r.t Critical stages).</p> <p>The Inspection/Progress Reports dated 9/2/2021, 24/2/2021, 26/3/2021, 4/6/2021 and 11/6/2021 prepared by the AEO (Tugume B. J) and endorsed by the DE, also Site Instructions issued on 18/1/2021, 10/2/2021 were seen by the Assessor. The following Projects were sampled;</p> <ol style="list-style-type: none"> 1) Upgrade of Kicwamba HC II to HC III (Phase I) in Nyakayojo Division 2) Construction of an OPD at Bubaare HC III (Phase II) in Bubaare S/County 3) Construction of a Staff House at Rubaya HC III (Phase II) in Rubaya S/County 	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

The LG provided evidence to the assessor showed that the The DHO –Dr. Ssebutinde Peter; District Engineer, Bananuka William, District Natural Resource Officer - Niwagaba Sancho , DCDO-Byaruhanga Arthur and Supervisor of Works - Ssozi Emmanuel verified works and initiated for payment however this was not done within the specified timeframes of 2 weeks or 10 working days.

The sample of payments were;

1. Construction of OPD at Bubaare HC III by Extech Technical Services Ltd. MBAR537/WRKS/2020-2021/00011. Requisitioned on 11/03/2021. Certified works on 29/03/2021. Paid on 20/04/2021 by EFT.35981142, Shs. 29,916,127.
2. Construction of Staff House at Rubaya HC III by Extech Technical Services Ltd. MBAR537/WRKS/2020-2021/00010. Requisitioned for funds on 11/03/2021. Certified works on 26/03/2021. Paid on 20/04/2021, by EFT.359811421, Shs. 29,525,787.
3. Construction of Maternity Ward, Placenta Pit, Medical Waste Pit, and 4 Stance Latrine at Kicwamba HC II, Nyakayojo Division by Bana enterprises ltd. MBAR537/WRKS/2020-2021/00009. Requisitioned for funds on 31/05/2021. Certified works on 04/06/2021. Paid on 14/06/2021 by EFT.37068532, Shs. 376,513,042.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Complete Procurement files for the health infrastructure contracts with Evaluation Reports and Minutes of the Contract Committee, and the very contract documents were seen by the Assessor.</p> <p>Files for the following projects were sampled accordingly;</p> <p>a) Upgrade (Phase I) of Kicwamba HC II to HC III in Nyakayojo Division - MBAR537/WRKS/2020/2021/00009; approved by the Contracts Committee under Min. 22/09/2020/2021 (I) in a meeting held on 17/9/2020 after evaluation. The contract document was signed on 3/11/2020</p> <p>b) Construction of a Staff House at Rubaya HC III (Phase II) in Rubaya S/County - MBAR537/WRKS/2020-2021/00010; approved by the Contracts Committee under Min. No. Min. 22/09/2020/2021 (k) on 17/9/2020 after evaluation. The contract document was signed on 27/10/2020</p> <p>c) Construction of an OPD at Bubaare HC III in Bubaare S/County (Phase II) - MBAR537/WRKS/2020-2021/00011; approved by the Contracts Committee under Min. No. Min. 22/09/2020/2021 (j) on 17/9/2020 after evaluation. The contract document was signed on 27/10/2020</p> <p>The above projects are on the Contract Register for the FY 20/21, had all evaluation reports on file; and were approved by the Contracts Committee as above</p>	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>The Local Government recorded, investigated, responded and reported grievances in line with the LG grievance redress framework. An Issue entered into the register on 8.October.2021 where the chairperson of Kagongi Sub-county highlighted the misuse of RBF and PHC funds and mistreating clients by the In-Charge. The Issue was investigated and action taken on 12.November.2021.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>The District health office had not disseminated guidelines on health care waste management to health facilities as required. A visit to health facilities of Bubaare Health Center III and Rubaya health Center III established that these guidelines had not been disseminated as none had a copy, neither did the staff at the facility indicate having knowledge about the guidelines.</p>	0

15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	<p>There was no specific budget for health care waste management at the time of assessment.</p> <p>The Health centers of Bubaare and Rubaya had incinerators for combusting wastes generated at the facilities, however management for waste glass vials was lacking. The health centers indicated they bury vials in a pit as the incinerators could not burn them to ash.</p> <p>The District Health Office also presented a file indicating removal of waste from health centers by Green Label, contracted through an Implementing partner. However, Green label strictly removed material from facilities handling safe male circumcision only and did not handle other wastes generated at the Centers.</p>	0
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	<p>A letter from Green label addressed to the District Health Office indicating an intention to undertake health care waste management training at Bwizibwera Health Center IV was presented for review. However, training records indicating the material discussed and individuals in attendance were not availed as required.</p> <p>The sampled health facilities of Bubaare and Rubaya Health Center III indicated that the District had not carried out training on waste management as required by this indicator.</p>	0
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	<p>The Health Department implemented the following projects and had put in place mitigation measures as required by the time of assessment;</p> <ol style="list-style-type: none"> 1. Construction of a staff house at Rubaya Health Center III (phase II) was screened and report prepared on 16.July.2020 2. Construction of an OPD at Bubaare Health Center III (Phase II) was screened and report dated 16.July.2020 3. Construction of maternity ward, OPD and staff house at Kichwamba Health Center II was screened and report dated 31.August.2020 <p>Environmental Screening report for all health projects was developed and had individual costed ESMPs with mitigations therein dated 31.August.2020. However, none of the ESMP requirements were incorporated into the project BoQs as required.</p>	0
Maximum 5 points on this performance measure	Maximum 5 points on this performance measure	Maximum 8 points on this performance measure		

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>Health department projects were implemented on land where the District had proof of ownership, as presented below;</p> <p>Bubaare Health Center had a freehold title for 2.6480ha in Kashari County plot 305, Block 36 Bubaare II Rwenshanku, REGD: 13.January.2020 MBR 00031798</p> <p>Rubaya Health Center III had a freehold title for 17.39ha in Kashari County, plot 36 and 37, Block 28 at Rubaya, REGD 21.April.2008 INST MBR 395213</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>Health Department projects were not monitored monthly as required. One report for the completion of OPD and construction of Staff house at Rubaya Health Center III dated 22.December.2020.</p>	0

<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>Health Projects were certified by Environment and Community development Officers before payments being effected to the contractor.</p> <p>Payment for construction of Staff house at Rubaya Health Center III (Phase II) certificate No. 1 was signed by project Supervisor, District Natural Resources Officer, District Community Development Officer, District Engineer, District Health Officer, and CAO on 29.March.2021. An EFT was effected afterwards on 23.April.2021 for 42,048,710shs</p> <p>Construction of OPD at Bubaare Health Center III was certified for Environment and Social safeguards before clearing contractor's invoice for payment. Payment to Exttech Technical services Limited was signed off by project Supervisor, District Natural Resources Officer, District Community Development Officer, Chief Administrative Officer, District Health Officer, and District Engineer on 11.June.2021.</p> <p>An EFT was made later on 19.June.2021 for 57,511,784 shs</p> <p>Payment for the construction of maternity ward, OPD and staff house at Kichwamba Health Center II Certificate No.1 was signed by Supervisor, District Natural Resources Officer, District Community Development Officer, District Engineer, District Health Officer, and CAO on 8.June.2021. An EFT was later effected on 12.June.2021 for 376,573,042shs</p>
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>According to the Ministry of Water and Environment (MoWE) Management Information System (MIS) report for Financial Year 2021/2022 the Local Government had water source functionality of 94%. This justified the score awarded.</p>	2
1	<p>Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0 	<p>Review of the MoWE MIS report FY 2021/2022, the LG had 98% of water sources with functional Water and Sanitation Committees.</p>	2
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p> <p>If LG average scores is</p> <ul style="list-style-type: none"> a. Above 80% score 2 b. 60 -80%: 1 c. Below 60: 0 <p>(Only applicable when LLG assessment starts)</p>	<p>This particular indicator was not applicable in the Financial Years under review. The Office of the Prime Minister had not developed and disseminated the LLG assessment framework to guide LGs on assessment of lower units.</p>	0

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

b. % of budgeted water projects
implemented in the sub-counties
with safe water coverage below the
district average in the previous FY.

o If 100 % of water projects are
implemented in the targeted S/Cs:
Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

According to the Ministry of Water and
Environment MIS report FY 2020/2021 Mbarara
District had a safe of 70%. The sub-counties of
Biharwe with 17%, Kashare with 45%, Rubaya
with 55%, Rwanyamahembe with 65% and
Bubaare with 67% were eligible LLGs to receive
the grant and reported below district average.
(some LLGs had intervention of National Water
and Sewerage Cooperation)

Review of the Annual Work Plan and Budget FY
2020/2021 dated 13th/July/2020 Ref.WAT/213/2
the LG planned and budgeted for the following
projects

Construction of 3-stance VIP pit latrine at Kasikizi
Primary School in Bubaale sub-county

Construction of 5-stance VIP pit latrine at
Munyonyi Primary School in Kagongi Sub-County

Construction of VIP pit latrine in Rubaya-Ruhinga
Primary School in Rubaya sub-county

Drilling and Installation of 07 deep boreholes in
the sub-counties of Rubaya (03), Kashare (02)
Bubaale (01) Bukiiri (01)

Extension of piped water in Kagongi sub-county
(Kyhindhi Parish) Kyhindhi Gravity Flow
Scheme (GFS) phase IV

Design and documentation of Kanyigiri solar
powered water system in Bukiiri sub-county

Review of Annual Performance Report FY
2020/2021 dated 14th/06/2021 Ref.WAT/213/2
the above mentioned water projects reported
implemented in the localities mentioned. It should
as well be noted that the grant guidelines allow
utilization of up to 10% on sanitation promotion.
From analysis it was discovered that only 50% of
the implemented projects were in LLGs below
district average.

Service Delivery
Performance: Average score in the water and environment LLGs performance assessment

Maximum 8 points on this performance measure

c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

To track price variation, the assessor randomly sampled three (03) projects these included;

Construction of a 5-stance VIP pit latrine which had engineer's estimates of UGX30,000,000 according to the Annual Budget FY 2020/2021. Review of the signed contract dated 23rd/10/2020 Ref.MBAR537/WRKS/2020-2021/00005 between Mbarara District LG and M/S Ligitimate Engineering and Technical Services Ltd the contract price was UGX28,386,552. This implied that the project had a variation of -5%

The Extension of piped water in Kyandahi-Kagongi sub-county (Phase III) whose engineer's estimates was UGX125,000,000 according to the Annual Budget FY 2020/2021. Review of the contract agreement signed on 27th/10/2020 (Ref.Mbar537/Wrks/2020-2021/00007) between the LG and M/S Daikam Technologies Ltd the contract price was UGX120,436,700. This project had a variation of -4%

From the Annual Budget FY 2020/2021, the engineer's estimates for sitting and supervision of 07 deep boreholes was UGX17,500,000. Review of the signed contract between Mbarara District LG and M/S ROK Technical Services Ltd dated 20th/10/2020 (Ref.Mbar537/srvcs/2020-2021/00001) the contract sum was UGX19,523,100. Therefore, the project had a variation of 11%.

All the three randomly sampled projects met the requirement of the indicator i.e they had price variation within the range of +/-20% hence the score awarded.

2

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

d. % of WSS infrastructure projects
completed as per annual work plan
by end of FY.

o If 100% projects completed: score
2

o If 80-99% projects completed:
score 1

o If projects completed are below
80%: 0

From the Annual Work Plan FY2020/2021, the LG
planned and budgeted for six (06) major
hardware activities using the District Water and
Sanitation Conditional Development Grant.
These projects included Construction of two 3-
stance and one 5-stance VIP lined pit latrines

Drilling and installation of 07 deep boreholes in
the sub-counties of Rubaya (03), Kashare (02),
Bubaale (01) and Bukiiro (01)

Extension of piped water supply system- Kyandihi
GFS Phase III in Kagongi sub-county

Design and documentation of Kanyigiri solar
powered water system in Bukiiro sub-county.
Review of the Annual Performance report dated
14th/06/2021 Ref.WAT/213/2 under status of
project implementation, it was reported that all the
projects above were on 100% cumulative
performance completion within the financial year.

For triangulation purposes reviewed was a final
feasibility study report for the design and
documentation of Kanyigiri Mini solar powered
system (Ref.Mbar537/srvcs/2020-2021/00002)
dated 11th/12/2020 produced by Gist
Technologies Ltd.

From the three sampled projects of Kibingo-
Kyandahi Gravity Flow Scheme phase III with 6
public tap stands in Kagongi sub-county,
constructed deep bore hole in Kashare sub-
county and 5-stance VIP lined pit latrine at
Ruhunga P/S in Rubaya sub-county. Three
different projects were sampled from different sub-
counties. These facilities were found in existence
and fully functional as reported in the Annual
Performance Report FY 2020/2021.

2

3

New_Achievement of
Standards:

The LG has met WSS
infrastructure facility
standards

*Maximum 4 points on
this performance
measure*

a. If there is an increase in the % of
water supply facilities that are
functioning

o If there is an increase: score 2

o If no increase: score 0.

Review of the Ministry of Water and Environment
MIS report for Mbarara District LG, it was
established that the LG had water source
functionality standing at 94% in FY 2020/2021.
The same source information had reported 93%
in financial year 2019/2020. Therefore, there was
a percentage increase of 1% registered across
the two financial years.

2

3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>From MoWE MIS report FY 2020/2021, the LG had 98% of water sources with functional Water and Sanitation Committees(WSCs). In FY 2019/2020, the LG had 97% functionality of Water and Sanitation Committees. The Local Government had increased its management component by 1% hence the awarded score.</p>	2
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Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>From the Annual Performance Report FY 2020/2021, Ref.WAT/213/2 dated 14th/06/2021 Page 5, the following projects of the previous year (2020/2021) were accurately reported.</p> <p>Under hardware activities (using the grant) the construction of a 3-stance VIP pit latrine in Kasikizi in Bubaale sub-county, Munyonyi in Kagongi sub-county and Ruhunga in Rubaya sub-county (5-stance)</p> <p>Design of solar powered water system in Nyarubungo Parish in Bukiuro sub-county-Final design report submitted.</p> <p>Construction of Kyandahi Gravity Flow Scheme in Kagongi sub-county</p> <p>Drilling and installation of 07 deep boreholes. All the projects were accurately reported and field findings in the randomly sampled sub-counties of Kigongi for the extension phase III, Kashare for the constructed borehole and Rubaya for the 5-stance verified existence and functionality of these facilities as reported in the Annual Performance Report 2020/2021.</p>	3
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5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2

There was proof that the LG Water Office collected and compiled quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs. Reviewed during assessment was quarterly WSS data update reports compiled on quarterly basis. Capture of this data in the reports was guided by Ministry of Water and Environment standard submission Form S4 (for existing water sources/points). Information included location of the source, source name and number, source owner, funder, functionality, management issues and gender considerations. This information was compiled on the following dates;

FormS4 data capture for quarter one (July-September) on 13th/10/2020

FormS4 data capture for quarter two (October-December) on 8th/01/2021

FormS4 data capture for quarter three (January-March) on 13th/04/2021

FormS4 data capture for quarter four (April-June) on 14th/07/2021

Sanitation and hygiene issues were equally captured in quarterly reports with emphasis on latrine coverage by sub-county, nature of latrines, hand washing facilities on latrines, drying racks and bath shelters.

2

5

Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance

Maximum 7 points on this performance measure

b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0

From the District Water Office Management Information Systems (DWO MIS) the assessor could verify that the LG updated the Ministry MIS-Water Supply database on sub-county information about new sources (facilities constructed in FY 2020/2021). Reviewed during the exercise was evidence of submission of data using Ministry of Water and Environment standard submission Form 1 (data collection/submission form 1-new water sources). Data captured included location of facilities, functionality, technological option used, operation & maintenance, functionality of WSCs and general information including environment surrounding the source. All data submitted to the Ministry MIS was prior verified by the District Water Officer.

3

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Not Applicable in the FY under review since the Lower Local Government (LLG) assessment framework had not been disseminated by the Office of the Prime Minister at the time. However, it kept LGs alive to the fact that it will be an area of assessment in subsequent LGMSD assessment exercise.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>There was evidence that DWO had budgeted for the key staff in the water sector as per the performance contract 2021/2022 i.e. DWO, Borehole Technician, Engineering Assistant (Water). The 2 Assistant Water Officer (Mobilization & Hygiene) were not reflected in the district approved customized structure.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the DNRO had budgeted for Environment and Natural Resource Officer, Environment Officer and Forestry Officer in the LG performance contract 2021/2022.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There was evidence that the DWO had appraised District Water Office staff as follows; Kashaija Kenneth Borehole Technician appraised on 05/08/2021 and Sozi Emmanuel Assistant Engineering Officer (water) appraised on 05/08/2021).</p>	3

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

Using the standard Staff Performance Appraisal Form for Public Service (Uganda Government Standing Orders Section A-e) staff in the LG Water section were appraised and capacity gaps identified. Reviewed was a capacity Needs Assessment (CNA) report dated 12th/01/2021 Ref.Wrks/205/1 addressed to CAO. Performance gaps identified included Arc GiS Programme for the District Water Officer, Management and Information System for the Engineering Assistant/ Borehole Technician and Conflict Resolution for the Assistant Water Officer-Mobilization. The time frame for respective training was indicated within the financial year.

Reviewed was a capacity enhancement report dated 7th/07/2021 Ref.Wrks/205/2 addressed to CAO detailing training/ mentorship attained by different section staff. For example the DWO attended MoWE training where ARC GIS Programme was among topics covered. The Assistant Engineering Officer was trained on compilation of Asset register and data update.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
-
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

From the Ministry of Water and Environment MIS report FY 2021/2022, the LG had a safe water coverage of 70%. With the curving out of the city, the LG lost some LLGs while others had intervention of National Water and Sewerage Cooperation (NWSC). Eligible LLGs for the grant whilst below district average included; Kashare at 45%, Rubaya at 55%, Rwanyamahembe at 65% and Bubaare at 67%.

Review of the approved Annual Work Plan FY 2021/2022 dated 12th/07/2021 Ref.WAT/213/2 the LG had a total budget of UGX733,512,586. The development budget was UGX 674,154,328. From the development component, the LG Water Office planned for the following projects;

Drilling and installation of 09 boreholes in Rubaya (03), Kashare (03), Rwanyamahembe (02) and Rubindi (01) at a cost of UGX270,000,000

Sitting and Construction supervision of 09 deep bore holes in Rubaya (03), Kashare (03) Rwanyamahembe (02) and Rubindi (01) at a cost of UGX 24,100,000

Design and documentation of solar powered water piped system in Kigoro-Kyabiranga in Bukiro sub-county at a cost of UGX 47,000,000

Extension and construction of Kyandahi Gravity Flow Scheme phase IV in Kagongi sub-county at a cost of UGX165,000,000

Construction of a 5-stance VIP lined pit latrine at Akirungu Primary School in Rubaya sub-county at UGX 35,000,000

Construction of a water-borne toilet at the proposed new site for the District Head Quarters in Bwezibwera- Rwanyamahembe sub-county at UGX 45,000,000

The sector grant guidelines as of FY 2021/2022 clearly stipulate utilization of the development grant. Up to 15% for rehabilitation of old sources, 10% sanitation promotion and 10% of the capital development budget to cater for Investment Servicing Costs.

Baring the above in mind, it was discovered that the LG allocated 60.5% in LLGs below hence the score awarded.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence of communication to Lower Local Governments their respective budget allocations in FY 2021/2022. Reviewed was a circular dated 10th/09/2021 Ref.WAT/214/1 addressed to LLG sub-county Senior Assistant Secretaries (SAS). The same circular was shared during District Planning and Advocacy meeting. Information regarding projects/activity, areas of implementation, budget estimates and safe water coverage for the selected LLGs was shared.</p>	3
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If 95% and above of the WSS facilities monitored quarterly: score 4 • If 80-94% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>Monitoring was not in conformity with the requirements of the indicator. Reports were sketchy, not guided by inventory of facilities, monitoring plans and reporting templates.</p>	0

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>During the assessment exercise, reviewed were quarterly DWSCC Meeting minutes. There was proof that among other agenda items, key issues identified from monitoring of Water Supply and Sanitation facilities were discussed and incorporated in FY 2012/2022 Annual Work Plan. DWSCC meetings were held on the following dates</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>		<p>Quarter one on 26th/10/2020 Quarter two on 18th/12/2020 Quarter three on 8th/04/2021 Quarter four on 8th/07/2021</p>	
			<p>It was established that pertinent issues identified in monitoring were discussed for example in quarter two minutes MIN:33/12/2020:Reactions and Way forward, the contractor that had removed borehole pump heads during rehabilitation hence forcing communities to resort to unsafe water. Stakeholders directed to the DWO to make follow-up and ensure rehabilitation of more water points in the District. A good number of water points were prioritized for rehabilitation in FY 2021/2022</p>	
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>Allocations for financial year 2021/2022 was displayed and observed on the district notice board during assessment. This information regarding budget allocations of the sector grant was again shared/ uploaded on the Mbarara District Local Government website www.mbarara.go.ug/publications</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>According to the Annual Budget FY 2020/2021 dated 13/07/2020 Ref.WAT/213/2 the total Non-Wage Recurrent Budget was UGX 59,240,934. From the NWR- budget, UGX25,431,868 representing 43% was earmarked for software/mobilization activities that included; District and Sub-county planning and advocacy meetings, extension meetings, sensitization of communities to meet sector critical requirements, establishment and training of committees and post construction supervision.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>			
10	<p>Mobilization for WSS is conducted</p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>Training of the established Water and Sanitation Committees (WSCs) was conducted by the District Water Officer in liaison with the Assistant Water Officer- Mobilization seconded from the Community Development Coordination Office. Training was conducted on 23rd/03/2021. Key among topics covered was roles and responsibilities of WSCs, Sanitation and hygiene, O&M of water infrastructures and local resource mobilization.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>			

Investment Management

11	Planning and Budgeting for Investments is conducted effectively	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:	The LG had an updated Asset register. The document captured information water facility location,functionality status and year of construction. Facilities that required decommissioning were equally pointed out. The register was last updated on 11th/07/2021.	4
	<i>Maximum 14 points on this performance measure</i>	Score 4 or else 0		

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDPIII) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:

Score 4 or else score 0.

Evidence was provided that the LG DWO-

Joseph Muchunguzi; Works Engineer-Bananuka William; Senior Environment Officer-Nuwagaba David Sancho and Borehole Maintenance Technician- Kashaija Keneth conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines. The LG District Water Officer conducted a desk appraisal for water projects on, 15/07/2020. The projects were derived from LG DP III, pages- 243, AWP- page 81.

The projects include;

- i. Borehole drilling and installation at Katojo parish, Bubaare Sub- County.
- ii. Borehole drilling and installation at Nyabisirira parish, Kashare Sub- County.
- iii. Borehole drilling and installation at Bukiro ward, Bukiro T/C.
- iv. Borehole drilling and installation at Butaturwa village, Nyabasirira parish, Kashari sub-county.
- v. Borehole drilling and installation at Rushozi parish, Rubaya sub-county.
- vi. v. Borehole drilling and installation at Rushozi parish, Rubaya sub-county
- vii. Borehole drilling and installation at Kyamatambarire village, Rushozi pariah, Rubaya,sub-county.
- viii. Borehole drilling and installation at Itara parish, Rubaya sub-county.

11

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2

All projects of FY 2021/2022 had completed application letters from beneficiary communities and institutions. For instance reviewed was application letter dated 08th/03/2021 from the community of Rwanyakahikye LC1, Rubindi sub-county requesting for a safe water source. A deep borehole was allocated in the area in FY 2021/2022.

The community of Rugarama III village in Kashare requesting for a borehole through a letter dated 3rd/12/2020 addressed to the District Water Office copied to LCIII Chairperson. Attached was a list of persons in support of the infrastructure.

Residents of Ekyeera II in Rubaya sub-county requesting for a borehole in a letter dated 20th/08/2020. Application from communities for water infrastructure investment was in line with sector critical requirements. This ensured community ownership and sustainability of established facilities.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

Evidence was provided that the LG DWO, Joseph Muchunguzi; Works Engineer-Bananuka William; Senior Environment Officer-Nuwagaba David Sancho and Borehole Maintenance Technician-Kashaija Keneth conducted field appraisal to check technical feasibility, environmental social acceptability and customized designs for Water Supply and Sanitation Services projects. The field appraisal was carried out as per report dated 10/12/2020, 11/12/2020,12/12/2020, 13/12/2020,

The projects to be implemented are;

i. The projects include;

ii. Borehole drilling and installation at Katojo parish, Bubaare Sub- County.

iii. Borehole drilling and installation at Nyabisirira parish, Kashare Sub- County.

iv. Borehole drilling and installation at Bukiro ward, Bukiro T/C.

v. Borehole drilling and installation at Butaturwa village, Nyabasirira parish, Kashari sub-county.

vi. Borehole drilling and installation at Rushozi parish, Rubaya sub-county.

vii. v. Borehole drilling and installation at Rushozi parish, Rubaya sub-county

viii. Borehole drilling and installation at Kyamatambarire village, Rushozi pariah, Rubaya,sub-county.

ix. Borehole drilling and installation at Itara parish, Rubaya sub-county.

Planning and Budgeting for Investments is conducted effectively

Maximum 14 points on this performance measure

e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents.
Score 2

All water infrastructure projects had been screened for environment and social risks and ESMPs put in place by the time of assessment. Evidence from the sampled projects is provided below for reference;

The extension of Kibingo Kyandahi Gravity flow scheme in Kagongi Sub-county had an Environment, social and climate change screening form dated 20.July.2020

The district also used part of the Rural water and sanitation Condition Grant to construct latrines in Primary Schools, one of which was a 5 stance lined VIP latrine in Munyonyi Primary school, with a Screening form dated 22.September.2020

The LG also implemented drilling and installation of seven boreholes in selected sub-counties of Kashare, Bukiirro, Rubaya and Bubaare. An example of one was the borehole located in Kyamatambarire I village, Rubaya sub-county, that had a screening report dated 11.January.2021

ESMPs for the projects were prepared and costed, and dated as below;

- Drilling and installation of 7 boreholes - 20.January.2021

- Construction of Kyandahi Gravity flow scheme phase III - 23.July.2020

- Construction for the latrines at primary schools were captured in an ESMP dated 31.August.2020

All project BoQs incorporated environment action plans as recommended.

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>As per the Approved Budget Estimates, the following water infrastructure investment projects among others were incorporated in the AWP and Procurement Plans for the current FY</p> <p>a) Construction of 5-Stance Water-borne at Bwizibwera New District Headquarters; Budgeted for UGX 45,000,000/=. The Contract - <i>MBAR537/WRKS/2021/2022/00003</i> was awarded to M/S Multiple Enterprises and Services Co. Ltd at a cost of UGX 44,929,680/=</p> <p>b) Drilling and Installation of Deep Hand Pump Boreholes; Estimated at UGX 270,000,000 /=. The Contract - <i>MBAR537/WRKS/2021/2022/00004</i> was awarded to M/S KLR (U) Ltd at a cost of UGX 220,534,920/=; awaiting clearance of S/G by the time of the Assessment</p> <p>c) Construction and Extension of Kibingo - Kyandahi GFS (Phase IV); Estimated at UGX 170,054,328/=. The Contract - <i>MBAR537/WRKS/2021/2022/00005</i> was awarded to M/S Daikam Technologies Ltd at a cost of UGX 149,647,600/=</p>	2
<p><i>Maximum 14 points on this performance measure</i></p>	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure Projects for the Previous FY were approved by the Contracts Committee before commencement of Works in a meeting held on 17/9/2020 as follows;</p> <p>1) Under Min. 22/09/2020/2021(g), the Extension of Kibingo - Kyandahi GFS (Phase III) in Kagongi S/County - <i>MBAR537/WRKS/2020/2021/00007</i>;</p> <p>2) Under Min. 22/09/2020/2021(e), the Drilling and Installation of 7 Boreholes in Kashare (2), Bubaare (1) Bukiro (1) and Rubaya (3) - <i>MBAR537/WRKS/2020/2021/00008</i>;</p> <p>3) Under Min. 22/09/2020/2021(f), the Construction of a 5-Stance Lined VIP Latrine at Munyonyi P/S in Kagongi S/County, 5-Stance Lined VIP Latrine at Ruhunga P/S in Rubaya S/County, 3-Stance Lined VIP Latrine at Katsikizi P/S in Bubaare S/County - <i>MBAR537/WRKS/2020/2021/00005</i>;</p>	2
<p><i>Maximum 14 points on this performance measure</i></p>	<p>.</p>	<p>.</p>	<p>.</p>	<p>.</p>

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence of proper establishment of the PITS for the Water Sector projects within the last FY (2020/2021) as per guidelines</p> <p>A copy of joint appointment of the Civil Engineer - for DE (Project Manager), Civil Engineer – Water, for DWO (Contract Manager), DCDO, DNRO (as Environment Officer) and others as members of the PIT for Infrastructure projects (WSS projects inclusive) for FY 2020/2021 The letter was dated 7th August, 2020</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>The following were the sampled projects</p> <ol style="list-style-type: none"> 1) Extension of Kibingo - Kyandahi GFS (Phase III) 2) Drilling and Installation of Boreholes, and 3) Construction of Lined VIP Latrines 	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>To establish compliance with standard technical designs provided by the District Water Officer three different types of facilities were sampled from three different sub-counties. They included extension of piped water system in Kagongi sub-county, Deep borehole in Kashare sub-county and 5-stance VIP pit latrine in Rubaya sub-county.</p> <p>The latrine at Ruhunga Primary School was found to be completed, fully functional with 5 stances, VIP pipe, walls well plastered and painted, metallic doors, lump for People with Disabilities and roofing with 28" gauge colored iron sheets.</p> <p>The deep borehole at Butaturwa village in Kashare sub-county was well constructed with diameter of 2metres, drainage channel of 6metres, AOV U2 modified stainless steel hand pump installed</p> <p>With the extension of Kyandahi Gravity Flow Scheme piped water supply, under phase III 5-public stand taps well constructed. Phase III covered a distance of 3kms with approximately 600metres distance between each tap stand.</p> <p>This was all in conformity with the technical designs provided by the District Water Engineer.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

Monthly technical supervision of WSS infrastructure projects was carried out by the relevant technical officers (DE, DWO/Civil Engineer – Water, AEO – Water. DNRO/Environment Officer, CDO - Water) as per the Inspection/Progress and Supervision //Monitoring reports below;

a. Progress reports dated 6/11/2020, 15/12/2020, 21/12/2020, 20/1/2021, 14/2/2021, 15/3/2021 among others

b. Monitoring Reports dated 2/6/2021, 9/11//2021 among others for Projects under Defects Liability Period

c. Monitoring Reports dated 15/12/2020, 22/3/2021 among others on Implementation of Environmental and Social mitigation measures/safeguards for WSS projects; and Environmental Screening Report dated 20/1/2021 on Drilling of Boreholes

d. Quarterly Reports dated 4/9/2020 (Q1), 10/12/2020 (Q2), 11/3/2021 (Q3) and 2/6/2021 (Q4) for all WSS projects undertaken by the Mbarara DLG during the Previous FY

The projects sampled included;

1) Extension of Kibingo - Kyandahi GFS (Phase III)

2) Drilling/Installation, Supervision and Maintenance of Boreholes,

3) Construction of Lined VIP Latrines at Primary Schools

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

The assessor reviewed and sampled contracts, there is evidence that the; District Water Officer-Mucunguzi Joseph; District Engineer-William Bananuka; District Natural Resources Officer, Niwagaba David Sancho and DCDO-Byaruhanga Arthur, verified works and initiated payments of contractors within specified timeframes in the contracts. Among those paid in time were;

1. Construction of 5-stance lined VIP latrine at Ruhunga, Kasikizi & Munyonyi p/s by Ligitimate Engineering & Technical services. MBAR537/WRKS/2020-2021/00005. Requisitioned for funds on 11/02/2021. Certified the works on 16/02/2021. Paid on 25/02/2021 by EFT.34854801, Shs.29,314,690.

2. Construction of 5 stance lined VIP latrine in Rubaya p/s in Rubaya s/c by Epic Fine Services & Contractors Ltd. MBAR537/WRKS/2020-2021/00015. Requisitioned for funds on 09/02/2021. Certified the works on 16/02/2021. Paid on 25/02/2021 by EFT. 34854794, Shs. 28,403,959.

3. Drilling & installation of 7 Deep boreholes by KLR Uganda Ltd. MBAR537/WRKS/2020-2021/00008. Requisitioned for funds on 26/02/2021. Certified the works on 16/03/2021. Paid on 31/03/2021 by EFT. 35422462, Shs. 153,786,302.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

There was evidence that the LG has complete procurement files for all water infrastructure investments with all records; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals and/or Minutes

The Projects files included;

1. Construction of a 5-Stance Lined VIP Latrine at Munyonyi P/S in Kagongi S/County, 5-Stance Lined VIP Latrine at Ruhunga P/S in Rubaya S/County, 3-Stance Lined VIP Latrine at Katsikizi P/S in Bubaare S/County -

MBAR537/WRKS/2020/2021/00005; approved by the Contracts Committee under Min. 22/09/2020/2021(f) after a thorough evaluation process. The Contract was awarded to M/S Legitimate Engineering and Technical Services Co. Ltd at a Cost of UGX 74,688,572/= and signed on 22/12/2020

2. Extension of Kibingo - Kyandahi GFS (Phase III) in Kagongi S/County -

MBAR537/WRKS/2020/2021/00007; approved by the Contracts Committee under Min. 22/09/2020/2021(g) after a thorough evaluation process. The Contract was awarded to M/S Daikam Technologies Ltd at a Cost of UGX 120,436,700/= and signed on 27/10/2020

3. Drilling and Installation of 7 Deep Boreholes in the S/Counties of Kashare (2), Bubaare (1) Bukiro (1) and Rubaya (3) -

MBAR537/WRKS/2020/2021/00008; approved by the Contracts Committee under Min. 22/09/2020/2021(e) after a thorough evaluation process. The Contract was awarded to M/S KLR (U) Ltd at a Cost of UGX 168,829,680/= and signed on 30/11/2020

Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Maximum 3 points this performance measure

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

The Grievance complaints officer made an entry into the register on 6.September.2020, from Rubindi subcounty, where communities were disgruntled that National Water and Sewerage corporation had installed meters on Nyamiro-Rwamuhigi gravity flow scheme without consulting communities.

The matter was discussed at a meeting held by the Grievance committee on 7.September.2020 under Minute: MDLG/GRC/02/09/2020, where it was noted that this issue had been forwarded to the office of speaker of parliament, and a stakeholders meeting scheduled to handle the issue.

14	Safeguards for service delivery <i>Maximum 3 points on this performance measure</i>	Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs: Score 3, If not score 0	The Environment and Water Offices had disseminated guidelines on water source protection to CDOs with a report prepared, dated 10.August.2021	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0	Water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were availed for review, dated 23.July.2020	3
15	Safeguards in the Delivery of Investments <i>Maximum 10 points on this performance measure</i>	b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 3, If not score 0	All Water Supply and Sanitation projects were implemented on land where the LG had proof of consent. Reviewed was land consent forms signed between the LG and various landlords/ institutions. For example, consent signed between Mbarara District LG and John Batura of Nyabisirira Parish, Kashare Sub-county for drilling of borehole. Agreement was signed on 3rd/12/2020. Memorandum of Understanding (MoU) signed between M/S Gabito Tinkamanyire and Mbarara District LG permitting the district to drill and construct a borehole on his land. The MoU was signed on 3rd/12/2020. other presented consent agreements were drafted in local language at the request of the landlords.	3

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>Evidence that showed E&S Certification forms were completed and signed by the District Water Officer- Muchunguzi Joseph; District Engineer- William Bananuka; District Natural Officer, Niwagaba David Sancho and DCDO- Byaruhanga Arthur prior to payments of contractor invoices and certificates at interim and final stages of projects.</p> <p>The sample projects were;</p> <p>1. Construction of 5 stance lined vip latrine in Rubaya p/s in Rubaya s/c by Epic Fine Services & Contractors Ltd. MBAR537/WRKS/2020-2021/00015.</p> <p>Requisitioned for funds on 09/02/2021. Certified works on 16/02/2021. Paid on 20/02/2021. by EFT. 34854794. Shs. 28,403,959.</p> <p>2. Drilling & installation of 7 deep boreholes by KLR Uganda Ltd. MBAR537/WRKS/2020-2021/00008. Requisitioned for funds on 26/02/2021. Certified the works on 16/03/2021. Paid on 31/03/2021 by EFT. 35422462, Shs. 153,786,302.</p> <p>a. 3. Construction of 5 stance lined vip latrine at ruhunga, Kasikizi & munyonyi p/s by b. Legitimate Engineering & Technical services. MBAR537/WRKS/2020-2021/00005. c. Requisitioned for funds on 11/02/2021. Certified works on 16/02/2021. Paid on 25/02/2021. by EFT. 348544801. Shs. 29,314,690.</p>	2
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs as presented below;</p> <p>An environmental audit report for rural water and sanitation development for the Gravity flow scheme and installation of boreholes was prepared, dated 11.June.2021</p> <p>One monitoring report for drilling and installation of boreholes was reviewed, dated 22.March.2021</p> <p>Compliance inspection/monitoring for construction of the 5 stance VIP latrines was dated 11.December.2020 and another for the same works dated 19.February.2021.</p> <p>Monthly monitoring reports for water projects were not available for review as monthly visits by the Environment and Community development officers to sites were not done.</p>	0

**Micro-scale
irrigation
performance
measures**

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	Not applicable now.	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	Not applicable now.	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	Not applicable.	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	Not applicable.	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	Not applicable.	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	Not applicable.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	The district Extension Workers structure was 24 out of which 23 were filled substantively equivalent to 96%.	1
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	Not applicable.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	Not applicable.	0

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that the information on the position of Extension Workers was accurate; Bubaare S/C 2 at workplace, Rwanyamahembe Town Council 2 at workplace and Buzibwera Town Council 2 at workplace. The positions were the same as per the district staff list.</p>	2
5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>Not applicable.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Not applicable.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>No information availed.</p>	0

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	c.Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0	No information availed.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	d) Evidence that the LG has: i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	No information availed.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	No information availed.	0

Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	There was evidence in the LG performance contract FY 2021/2022 that the Coordinator Production had budgeted for all the extension workers as per the staffing norms.	1
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence that the extension workers had been deployed in the LLGs e.g. ; Rwanyamahembe TC had Muramuzi Ezra Agricultural Officer, Dr Orimwesiga Benerd Veterinary Officer, Bubaare Sub county had Tukundane Deus Assistant Agricultural Officer and Mateeka Alice Assistant Animal Husbandry Officer and were reflected on the district staff list dated 17/12/2021.	1
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that the Extension Workers were working where they were deployed; Bubaare Sub county had Tukundane Deus Assistant Agricultural Officer, Mateeka Alice Assistant Animal Husbandry Officer, Rwanyamahembe TC had Dr Orimwesiga Benerd Veterinary Officer and Muramuzi Ezra Agricultural Officer as reflected on the district staff list.	2
Maximum score 6				
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was no evidence that all the LLGs had publicized the names and contacts of the extension workers in LLGs; Rwanyamahembe TC (Not publicized), Buzibweera TC (Publicized) and Bubaare S/C (Not publicized).	0
Maximum score 6				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was evidence that District Production Coordinator had appraised all the Extension Workers against agreed performance plans and submitted copies to the HRO as follows; Bigirwa Bob AO (16/08/2021), Dr Kyabagye Kellen AO Rubaya S/C (04/10/2021), Kanyandekwire Innocent AAO Buzibwera TC (14/09/2021), Rukundo Monica AAHO Kagongi S/C (17/09/2021), Kansiime Joseph AO Bukiro S/C (01/09/2021), Mateeka Alice AHO Rubaare S/C (27/09/2021), Mujuni Leonard VO Rubindi S/C (01/09/2021) and Muramuzi Ezra AO Rwanyamahembe TC 13/09/2021).	1
Maximum score 4				

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There was no evidence provided as a proof that District Production Coordinator took corrective action after the appraisal of the extension workers.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	No information availed.	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	No information availed.	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	Not Applicable	0
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9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	Not Applicable	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	Not Applicable	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	Not Applicable	0
Maximum score 10				
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	Not Applicable	0
Maximum score 10				

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.) • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0	Not Applicable	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	Not Applicable	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	Not Applicable	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Not Applicable	0

11 Mobilization of farmers: a) Evidence that the LG has conducted Not Applicable 0
The LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0
farmers to participate in irrigation and irrigated agriculture.

Maximum score 4

11 Mobilization of farmers: b) Evidence that the District has trained Not Applicable 0
The LG has conducted staff and political leaders at District and LLG levels: Score 2 or else 0
activities to mobilize farmers to participate in irrigation and irrigated agriculture.

Maximum score 4

Investment Management

12 Planning and budgeting a) Evidence that the LG has an updated Not Applicable 0
for investments: The LG register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0
has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

12 Planning and budgeting b) Evidence that the LG keeps an up-to- Not Applicable 0
for investments: The LG date database of applications at the time of the assessment: Score 2 or else 0
has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

12 Planning and budgeting c) Evidence that the District has carried Not Applicable 0
for investments: The LG out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0
has selected farmers and budgeted for micro-scale irrigation as per guidelines

Maximum score 8

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	Not Applicable	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	Not Applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during: i. Testing the functionality of the installed equipment: Score 1 or else 0	Not Applicable	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Not Applicable	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	Not Applicable	0
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Maximum score 18

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	Not Applicable	0
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Maximum score 18

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	Grievance redress mechanism had been displayed at multiple places within the District headquarters except the Production department.	0
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Maximum score 6

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	Not Applicable	0
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Maximum score 6

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0 	Not Applicable	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0 	Not Applicable	0
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14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <ul style="list-style-type: none"> iv. Reported on in line with LG grievance redress framework score 1 or else 0 	Not Applicable	0
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Environment and Social Requirements

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	Not Applicable	0
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15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	Not Applicable	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	Not Applicable	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not Applicable	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	Not Applicable	0

Micro-scale irrigation minimum conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited; a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>The post of Senior Agricultural Engineer was substantively filled by Arinaitwe Osbert appointed on 17/03/2020 (Ref Min 39/03/2020 (a)).</p>	70
Environment and Social Requirements				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG: Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>Not Applicable</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The post of DWO was substantively filled by Mucunguzi Joseph appointed on 09/04/2014 (Ref Min 42/03/2014 (iv) (1)).	15
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The post of Assistant Water for mobilization for not provided for in the district customized structure dated 1st March 2021.	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The post of the Borehole Technician was substantively filled by Kashaija Kenneth appointed on 14/11/2005 (Ref Min 142/11/2005 (2) (a)).	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	The post of Natural Resource Officer was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	The post of Environment Officer was substantively filled by Twesigye Joseph appointed on 09/03/2020 (Ref Min 23/02/2020 (a) (1)).	10
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions. <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	The post of Forestry Officer was substantively filled by Ainembabazi Jose appointed on 31/05/2019 (Ref Min 45/05/2019 (n) (1)).	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

All water infrastructure projects had been screened for environment social and climate change risks for example, The extension of Kibingo Kyandahi Gravity flow scheme (Phase III) in Kagongi Sub-county had an Environment, social and climate change screening form dated 20.July.2020

The district also used part of the Rural water and sanitation Condition Grant to construct latrines in Primary Schools, and these had been screened with reports as presented below;

- Construction of the 5 stance lined VIP latrine in Munyonyi Primary school, with a Screening form dated 22.September.2020

- Construction of a 3 stance VIP latrine at Katsikizi primary school had a screening form dated 23.September.2020

- Construction of a 5 stance VIP latrine at Ruhunga Primary school had a screening report dated 22.September.2020

The LG also implemented drilling and installation of seven boreholes in selected sub-counties of Kashare, Bukiuro, Rubaya and Bubaare. An example of one was the borehole located in Kyamatambarire I village, Rubaya sub-county, that had a screening report dated 11.January.2021

ESMPs for the projects were prepared and costed, and dated as below;

- Drilling and installation of 7 boreholes - 20.January.2021

- Construction of Kyandahi Gravity flow scheme phase III - 23.July.2020

- Construction for the latrines at primary schools were captured in an ESMP dated 31.August.2020

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.

The gravity flow scheme had been constructed outside of the year of assessment, therefore the district registered only phase III construction works, which included extension of piped water to 5 public stands.

Construction of the latrines are not listed in the National Environment Act, 2019 as projects that would require EIA. However, construction of community water points and extension of piped water in town councils are listed in Part-2 schedule 4 of the Environment Act, as works requiring a project brief submitted to the lead agency.

The Environment Office prepared an environmental and social screening report for drilling and installation of boreholes, dated 20.January.201 and that for the phase III works on Kyandahi gravity flow scheme, dated 23.July.2020. Schedule 4 Part-2 clarifies that "Any reference to screening reports or project proposal under any law for projects covered by this Part shall be construed to mean a project brief". Therefore environment and social assessment reports for the projects were prepared in line with the National Environment Act, 2019.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.

For the piped water system connected to Kyandahi gravity flow scheme, the District didnot have abstraction permits from DWRM as required.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.	The post of DHO was substantively filled by Dr Ssebutinde Peter appointed on 17/10/2019 (Ref Min 69/10/2019 (a)).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0	The post of ADHO Maternal, Child Health and Nursing was substantively filled by Nshabahurira Agatha appointed on 16/04/2014 (Ref Min 48/03/2014 (i)).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	c. Assistant District Health Officer Environmental Health, score 10 or else 0.	The post of ADHO Environmental Health was vacant at the time of assessment.	0
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	The post of Principal Health Inspector was substantively filled by Santos Omia appointed on 17/03/2020 (Ref Min 39/3/2020 (g)).	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions. <i>Applicable to Districts only.</i> <i>Maximum score is 70</i>	e. Senior Health Educator, score 10 or else 0.	The post of Senior Health Educator was substantively filled by Kamugisha Fredrick appointed on 30/04/2019 (Ref Min 32/04/2019 (a) (vi) (1)).	10

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	f. Biostatistician, score 10 or 0.	The post of a Biostatistician was substantively filled by Ayebare Sylvia appointed on 10/05/2010 (Ref Min 97/03/2010 (ii) (b)).	10
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.	g. District Cold Chain Technician, score 10 or else 0.	The post of a District Cold Chain Technician was substantively filled by Oyesigye Liberty appointed on 16/06/2021 (Ref Min 115/06/2021 (d)).	10
	<i>Applicable to Districts only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
	<i>Applicable to MCs only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.	i. Principal Health Inspector, score 20 or else 0.		
	<i>Applicable to MCs only.</i>			
	<i>Maximum score is 70</i>			
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.	j. Health Educator, score 20 or else 0		
	<i>Applicable to MCs only.</i>			
	<i>Maximum score is 70</i>			

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The Health Department implemented the following projects and had screened each for Environment, social and climate change as required by the time of assessment;

1. Construction of a staff house at Rubaya Health Center III (phase II) was screened and report prepared on 16.July.2020
2. Construction of an OPD at Bubaare Health Center III (Phase II) was screened and report dated 16.July.2020
3. Construction of maternity ward, OPD and staff house at Kichwamba Health Center II was screened and report dated 31.August.2020

15

2

Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

Maximum score is 30

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

An Environmental, and social Screening report for all health projects was developed and had individual costed ESMPs with mitigations therein dated 31.August.2020

15

Education minimum conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	The post of DEO was substantively filled by Ahimbisibwe Gabrid appointed on 29/10/2013 (Ref Min 139/9/2013).	30
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office. <i>The Maximum Score of 70</i>	b) All District/Municipal Inspector of Schools, score 40 or else 0.	The District Local Government had 2 posts of SIS and IS that were substantively filled as follows; Ayebazibwe Kellen SIS appointed on 09/04/2014 (Ref Min 42/03/2014 (vii) (1)), Muhwezi Deo IS appointed on 09/03/2020 (Ref Min 23/02/2020 (b) (1)).	40

Environment and Social Requirements

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The District screened all projects implemented under the education department for environment, social and climate change risks as presented below;

1. Construction of 2 classroom blocks at the following schools and these had been screened for environment, social and climate change risks with reports prepared on 18.August.2020 -

a. Kyamatambarire Primary School in Rubaya Sub-county

b. Rubindi Girls Primary in Rubondi Sub-county

c. Kitengure Primary school in Bukiiro sub-county

d. Rwatsinga primary school in Rubaya Sub-county

e. Munyonyi Primary school in Kagongi Sub-county

f. Rubarara Primary School in Rubaya sub-county

2. There was also screening for construction of Rwanyamahembe Seed school in Kacerere, which had a screening report dated 2.July.2020

3. The Environment Office also screened construction of a 3 unit staff house at Nyamirima Moslem primary school with screening report dated 19.August.2020

The Maximum score is 30

2

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:
b. Social Impact Assessments (ESIAs), score 15 or else 0.

Works implemented under the education department didnot require ESIAs therefore an Environment and social management report with individual ESMPs was prepared, dated 31.August.2020.

The Maximum score is 30

Crosscutting minimum conditions

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The post of CFO was substantively filled by Muganzi Julius appointed on 26/06/2018 (Ref Min 48/06/2018 (a)).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The post of District Planner was substantively filled by Tusimireyo Johnson appointed on 22/05/2018 (Ref Min 43/05/2018 (a) (iv)).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The post of District Engineer was substantively filled by Eng Mwebaze Emmanuel appointed on 15/03/2018 (Ref Min 15/02/2018 (a) (i)).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The post of DNRO was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The post of District Production Officer was vacant at the time of assessment.	0

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The post of DCDO was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The post of District Commercial Officer was substantively filled by Mutebi Geoffrey appointed on 22/05/2018 (Ref Min 43/05/2018 (a) (1)).	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The post of Senior Procurement Officer was substantively filled by Mwije Dinah appointed on 16/04/2014 (Ref Min 52/04/2014 (xi)).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The post of Procurement Officer was substantively filled by Muhanguzi Anthony appointed on 17/03/2020 (Ref Min 39/3/2020 (d)).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The post of PHRO was substantively filled by Kagaba Allan appointed on 17/10/2017 (Ref Min 49/10/2017 (a) (ii)).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The post of Senior Environment Officer was substantively filled by Niwagaba Sancho David appointed on 07/04/2017 (Ref Min 22/04/2017 (a)).	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The post of Senior Land Management Officer was substantively filled by Nayebare Godline appointed on 24/05/2011 (Ref Min 30/05/2011 (i)).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The post of Senior Accountant was substantively filled by Kamugisha Daniel appointed on 09/03/2020 (Ref Min 23/02/2020 (m) (1)).	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The post of Principal Internal Auditor was vacant at the time of assessment.	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The post of PHRO (Secretary DSC) was substantively filled by Karuhanga Rosalia appointed on 16/06/2021 (Ref min 115/06/2021 (b)).	2
2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	a. Senior Assistant Secretary (Sub-Countries) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).	There was no evidence that the district had filled all posts of SAS/TCs for all LLGs as 3 out of the 6 Senior Assistant Secretaries for Sub counties and 4 out of the 5 posts of the Town Clerks for the Town Councils had been filled substantively at the time of assessment.	0

2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	b. A Community Development Officer /Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.	There was no evidence that the district had filled all the 11 posts of CDOs/SCDOs for LLGs. The district had filled 5 out of 6 posts of CDOs for Sub- counties and 5 out of the 5 posts of the SCDOs for the Town Councils.	0
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2	New_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG Maximum score is 15	c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.	There was no evidence that the district had filled all the 11 posts of SAA/Accounts Assistant in the LLGs. The reason given was inadequate wage bill to cover for the newly created Town councils (3 Town Councils).	0
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Environment and Social Requirements

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	LG released 100% of funds allocated for the Natural Resources Department. The amount allocated was Shs. 319,236,418, and released Shs. 319,236,418 (Final accounts FY 2020/21, page 20).	2
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3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY. Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	LG released 100% of funds allocated for Community Based Services department; The amount was Shs.158,375,167 and released, Shs. 158,375,167 (Final accounts, FY 2020/21, page 20).	2
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4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works. Maximum score is 12	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	The District used the DDEG fund to implement one project in the health sector, and that was to construct an OPD at Bubaare Health Center III (Phase II) in Bubaare Sub-county. The project had been screened for environment, social and climate change risks with a report dated 16.July.2020.	4
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4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p> <p>score 4 or 0</p>	<p>Works for the Construction of the OPD at Bubaare Health Center III are not explicitly listed in the National environment Act, 2019 as projects that would require ESIA, therefore a costed ESMP was prepared.</p>	4
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>The District used the DDEG fund to implement one project in the health sector, and that was to construct an OPD at Bubaare Health Center III (Phase II) in Bubaare Sub-county. The project had been screened for environment, social and climate change risks with a report dated 16.July.2020. The Project had costed ESMPs as required, dated 16.July.2020.</p>	4

Financial management and reporting

6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The LG provided evidence dated 14/04/2021 on the status of implementation of Internal Auditor General and Auditor General findings submitted to the PS/ST on 15/04/2021. The findings were submitted after 28th February. The statement included issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015). The key issues raised and responded to by the CAO Kasagara Edward were as below from pages-1-17.</p> <ol style="list-style-type: none"> 1. The budget was not realized. The LG only received 72% of the budgeted local revenue. 2. The LG received only 70% from other government units. 3. Unspent funds of Shs.1,024,006,689. This affected service delivery. 4. Out of 3 outputs, only one was completed and 2 were not done. This in a way affected the strategic plan. 5. The 43% of the strategic plan for 2015-2020 were partially achieved. This implies some of the 	0
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projects may stall hence affecting service delivery in specific areas, like education, water, health, social-environmental and climate interventions.

6. The LG failed to submit quarterly monitoring reports to the Office of the Prime Minister contrary to the budget execution circular. This makes it difficult for the Central government to monitor progress of programmes/activities/ projects in LGs.

7. Late submission of quarterly reports. The Central Government may not study the progression of activities in the LG.

8. There were no records of COVID-19 donations in kind. They were neither recorded in the stores ledger nor receipt of the same acknowledged. This makes it difficult to prove that they helped the affected people or beneficiaries.

9. The accumulation of domestic arrears to Shs.0.24 billion and no provision was made in the financial statements. This now casts doubt on the validity of the financial statements.

10. Poor management of fleet management, hence abuse of resources in usage of fuel and maintenance costs.

11. Poor service delivery in health centers especially Bwizibwera HC IV where there was lack of adequate medical equipment. There was also shortage of power, lack of oxygen, few C-section set and a few medical officers.

12. There was delayed completion of Bukiro Seed Secondary School under UgIFT. This affects, school going children, hence increase of school drop-outs.

7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	The LG in Pursuant to the Public Financial Management Act of 2015, Part VII Accounting and Audit, Section 45 (3), the Accounting Officer Kasagara Edward- CAO submitted the annual budget performance contract acknowledged by the PS/ST on generated on 28/06/2021. The contract was submitted before 31st August.	4
	Maximum Score 4	score 4 or else 0.		
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,	The LG submitted the Annual Performance Report on 23/08/202. This was before 31st August.	4
	maximum score 4 or else 0	score 4 or else 0.		

Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year

Maximum score is 4

If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,

score 4 or else 0.

The LG submitted all the four Quarterly Budget Performance Reports and were all after 31st August;

Q 1 19/11/2021

Q 2 12/02/2021

Q 3 24/06/2021

Q 4 23/08/2021

The LG was compliant.